

## I.4 Dirac's Bra-Ket Formalism

To emphasize the fact that the wave function  $\Psi(\mathbf{x}, t)$  is a vector in the Hilbert space  $\mathcal{H}$ , we can write it as

$$\Psi(\mathbf{x}, t) = \Psi_{\mathbf{x}}(t) \quad (1.108)$$

where  $\mathbf{x}$  serves as a triply infinite and continuous index for the components of  $\Psi$ .

The norm of a complex vector is defined as

$$|\mathbf{v}| = \sqrt{\sum_i v_i^* v_i} \quad (1.109)$$

The continuous version is obviously

$$\begin{aligned} |\Psi(t)|^2 &= \int d^3x \Psi_{\mathbf{x}}^*(t) \Psi_{\mathbf{x}}(t) \\ &= \int d^3x \Psi^*(\mathbf{x}, t) \Psi(\mathbf{x}, t) \end{aligned} \quad (1.110)$$

### I.4.1. Basis Transformations

Let  $\{\mathbf{b}^a \mid a = 1, \dots, D\}$  be a set of orthonormal basis of a finite dimensional complex vector space  $\mathcal{V}$ . In terms of the original orthonormal basis  $\{\mathbf{e}^i \mid i = 1, \dots, D\}$ , we have

$$\mathbf{b}^a = \sum_i \mathbf{e}^i b_i^a$$

where  $b_i^a$  is the  $i^{\text{th}}$  component of  $\mathbf{b}^a$  with respect to the  $\{\mathbf{e}^i\}$  basis. It is common practice to use  $b_i^a$  to denote the transformation matrix.

For any vector in  $\mathcal{V}$ ,

$$\begin{aligned} \mathbf{v} &= \sum_i \mathbf{e}^i v_i \\ &= \sum_a \mathbf{b}^a v_a = \sum_{i,a} \mathbf{e}^i b_i^a v_a \\ \rightarrow v_i &= \sum_a b_i^a v_a \end{aligned} \quad (1.111)$$

Orthonormality of the bases implies the inner products

$$(\mathbf{e}^i, \mathbf{e}^j) = \delta^{ij} \quad (\mathbf{b}^a, \mathbf{b}^{a'}) = \delta^{aa'}$$

Hence,

$$\begin{aligned} (\mathbf{b}^a, \mathbf{b}^{a'}) &= \sum_{i,j} (\mathbf{e}^i b_i^a, \mathbf{e}^j b_j^{a'}) \\ &= \sum_{i,j} b_i^{a*} b_j^{a'} (\mathbf{e}^i, \mathbf{e}^j) \\ &= \sum_i b_i^{a*} b_i^{a'} = \delta^{aa'} \end{aligned} \quad (1.113)$$

which means the transformation matrix  $b_i^a$  is unitary.

Using (1.113) on (1.111) gives

$$\sum_i b_i^{a'*} v_i = \sum_{a,i} b_i^{a'*} b_i^a v_a = \sum_a \delta^{a'a} v_a = v_{a'}$$

i.e.,

$$v_a = \sum_i b_i^{a*} v_i \quad (1.112)$$

Putting (1.112) into (1.111) gives

$$v_i = \sum_{a,j} b_i^a b_j^{a*} v_j$$

$$\rightarrow \sum_a b_i^a b_j^{a*} = \delta_{ij} \quad (1.114)$$

Since (1.114) guarantees (1.111), it is called the **completeness relation** ( or **criterion** ) for the  $\{b^a\}$  basis.

The Hilbert space is simply the generalization of the vector space to the case of infinite dimensions and bases with continuous indexing.

From (1.108), we see that the Hilbert space  $\mathcal{H}$  of wave functions showcases a basis with continuous indexing  $\mathbf{x}$ . An approximate discretized version can be obtained using a set of **local basis functions** defined by

$$h^n(\mathbf{x}) = \begin{cases} \epsilon^{-3/2} & \text{for } |x_i - x_{ni}| \leq \frac{\epsilon}{2}, \quad i = 1, 2, 3 \\ 0 & \text{otherwise} \end{cases} \quad (1.116)$$

where

$$\mathbf{x}_n = (n_1, n_2, n_3) \in \quad n_i = 0, \pm 1, \pm 2, \dots \quad (1.115)$$

These local basis functions are orthonormal since

$$\begin{aligned} (h^n, h^{n'}) &= \int d^3x h^n(\mathbf{x})^* h^{n'}(\mathbf{x}) \\ &= \begin{cases} \epsilon^3 (\epsilon^{-3/2})^2 = 1 & \text{if } \mathbf{n} = \mathbf{n}' \\ 0 & \text{if } \mathbf{n} \neq \mathbf{n}' \end{cases} \\ &= \delta^{nn'} \end{aligned} \quad (1.117)$$

In terms of this basis, any state in  $\mathcal{H}$  is written as

$$\Psi(t) \approx \sum_n h^n \Psi_n(t) \quad (1.118a)$$

where  $\Psi_n(t)$  is the component of  $\Psi(t)$  along  $h^n$ .

The wave function  $\Psi(\mathbf{x}, t)$ , which is just the component of  $\Psi(t)$  along  $\mathbf{x}$ , is therefore

$$\Psi(\mathbf{x}, t) \approx \sum_n h^n(\mathbf{x}) \Psi_n(t) \quad (1.118)$$

$\Psi_n(t)$  can be calculated using (1.117) on (1.118):

$$\begin{aligned} (h^n, \Psi(t)) &= \int d^3x h^n(\mathbf{x})^* \Psi(\mathbf{x}, t) = \sum_{n'} \int d^3x h^n(\mathbf{x})^* h^{n'}(\mathbf{x}) \Psi_{n'}(t) \\ &= \sum_{n'} \delta^{nn'} \Psi_{n'}(t) = \Psi_n(t) \end{aligned}$$

By (1.116), we also have

$$\int d^3x h^n(\mathbf{x})^* \Psi(\mathbf{x}, t) \approx \epsilon^3 \epsilon^{-3/2} \Psi(\mathbf{x}_n, t) = \epsilon^{3/2} \Psi(\mathbf{x}_n, t)$$

so that

$$\Psi_n(t) \approx \epsilon^{3/2} \Psi(\mathbf{x}_n, t) \quad (1.119)$$

In general, given a set of complete, orthonormal basis functions  $\{f^a(\mathbf{x})\}$  so that

$$(f^a, f^{a'}) = \int d^3x f^a(\mathbf{x})^* f^{a'}(\mathbf{x}) = \delta^{aa'} \quad (1.120)$$

any state or wave function that can be expanded as

$$\Psi(t) = \sum_a f^a \Psi_a(t)$$

$$\Psi(\mathbf{x}, t) = \sum_a f^a(\mathbf{x}) \Psi_a(t) \quad (1.121)$$

has components

$$\begin{aligned} \Psi_a(t) &= \langle f^a, \Psi(t) \rangle \\ &= \int d^3x f^a(\mathbf{x})^* \Psi(\mathbf{x}, t) \end{aligned} \quad (1.122)$$

Given another set of orthonormal basis functions  $\{\tilde{f}^b(\mathbf{x})\}$  so that

$$\int d^3x \tilde{f}^b(\mathbf{x})^* \tilde{f}^{b'}(\mathbf{x}) = \delta^{bb'} \quad (1.123)$$

any wave function that can be expanded as

$$\Psi(\mathbf{x}, t) = \sum_a \tilde{f}^b(\mathbf{x}) \tilde{\Psi}_b(t) \quad (1.124)$$

has components

$$\tilde{\Psi}_b(t) = \int d^3x \tilde{f}^b(\mathbf{x})^* \Psi(\mathbf{x}, t) \quad (1.125)$$

Inserting (1.121) into (1.125) gives

$$\tilde{\Psi}_b(t) = \sum_a \int d^3x \tilde{f}^b(\mathbf{x})^* f^a(\mathbf{x}) \Psi_a(t) \quad (1.126)$$

## 1.4.2. Bracket Notation

Dirac's bracket notation:

$$\langle \tilde{b} | a \rangle \equiv \int d^3x \tilde{f}^b(\mathbf{x})^* f^a(\mathbf{x}) \quad (1.127)$$

Note: Strictly speaking, (1.127) should be written as

$$\langle \tilde{f}^b | f^a \rangle \equiv \int d^3x \tilde{f}^b(\mathbf{x})^* f^a(\mathbf{x}) \quad (1.127a)$$

However, the simplified notation of (1.127) is preferred.

Using

$$\begin{aligned} f^a(\mathbf{x}) &= \langle \mathbf{x} | f^a \rangle = \langle \mathbf{x} | a \rangle \\ \tilde{f}^b(\mathbf{x})^* &= \langle \mathbf{x} | \tilde{f}^b \rangle^* = \langle \mathbf{x} | \tilde{b} \rangle^* \\ &= \langle \tilde{f}^b | \mathbf{x} \rangle = \langle \tilde{b} | \mathbf{x} \rangle \end{aligned}$$

we can write (1.127) & (1.127a) as

$$\begin{aligned} \langle \tilde{b} | a \rangle &\equiv \int d^3x \langle \tilde{b} | \mathbf{x} \rangle \langle \mathbf{x} | a \rangle \\ \langle \tilde{f}^b | f^a \rangle &\equiv \int d^3x \langle \tilde{f}^b | \mathbf{x} \rangle \langle \mathbf{x} | f^a \rangle \end{aligned}$$

which are identities by virtue of the completeness relation

$$\int d^3x | \mathbf{x} \rangle \langle \mathbf{x} | = 1 \quad (1.127b)$$

of the basis  $| \mathbf{x} \rangle$ . In other words, in writing (1.127), we've already assumed (1.127b).

(1.122), (1.125) & (1.126) become

$$\Psi_a(t) = \langle a | \Psi(t) \rangle \quad (1.128)$$

$$\begin{aligned} \tilde{\Psi}_b(t) &= \langle \tilde{b} | \Psi(t) \rangle \\ &= \sum_a \langle \tilde{b} | a \rangle \langle a | \Psi(t) \rangle \end{aligned} \quad (1.129)$$

which implies the completeness relation

$$\sum_a |a\rangle\langle a| = 1 \quad (1.130)$$

Orthonormality relations (1.120) & (1.123) become

$$\begin{aligned} \langle a | a' \rangle &= \int d^3x f^a(\mathbf{x})^* f^{a'}(\mathbf{x}) = \delta^{aa'} \\ \langle \tilde{b} | b' \rangle &= \int d^3x \tilde{f}^b(\mathbf{x})^* \tilde{f}^{b'}(\mathbf{x}) = \delta^{bb'} \end{aligned} \quad (1.132)$$

For (1.117), we set

$$\begin{aligned} h^n(\mathbf{x}) &= \langle \mathbf{x} | h^n \rangle = \langle \mathbf{x} | \mathbf{x}_n \rangle \\ \rightarrow h^n(\mathbf{x})^* &= \langle h^n | \mathbf{x} \rangle = \langle \mathbf{x}_n | \mathbf{x} \rangle \end{aligned} \quad (1.132a)$$

By (1.127), we have

$$\begin{aligned} \langle h^n | h^{n'} \rangle &= \langle \mathbf{x}_n | \mathbf{x}_{n'} \rangle = \int d^3x \langle h^n | \mathbf{x} \rangle \langle \mathbf{x} | h^{n'} \rangle \\ &= \int d^3x h^n(\mathbf{x})^* h^{n'}(\mathbf{x}) = \delta^{nn'} \end{aligned} \quad (1.133)$$

where the last equality comes from (1.117).

For (1.119),

$$\Psi_n(t) = \langle \mathbf{x}_n | \Psi(t) \rangle \approx \epsilon^{3/2} \Psi(\mathbf{x}_n, t) \quad (1.134)$$

$$= \sum_a \langle \mathbf{x}_n | a \rangle \langle a | \Psi(t) \rangle \quad (1.135)$$

where the completeness relation (1.130) was used.

Alternatively, using the completeness relation of  $|\mathbf{x}\rangle$ , we have

$$\begin{aligned} \langle \mathbf{x}_n | \Psi(t) \rangle &= \int d^3x \langle \mathbf{x}_n | \mathbf{x} \rangle \langle \mathbf{x} | \Psi(t) \rangle \\ &= \int d^3x h^n(\mathbf{x})^* \langle \mathbf{x} | \Psi(t) \rangle \\ &= \int d^3x h^n(\mathbf{x})^* \Psi(\mathbf{x}, t) \end{aligned} \quad (1.137)$$

Writing (1.118) as

$$\langle \mathbf{x} | \Psi(t) \rangle \approx \sum_n \langle \mathbf{x} | \mathbf{x}_n \rangle \langle \mathbf{x}_n | \Psi(t) \rangle \quad (1.136)$$

gives us the approximate completeness relation

$$\sum_n |\mathbf{x}_n\rangle\langle \mathbf{x}_n| \approx 1 \quad (1.138)$$

which is the bracket version of (1.118a).

### 1.4.3. Continuum Limit

Using (1.116) on (1.137) gives

$$\langle \mathbf{x}_n | \Psi(t) \rangle \approx \epsilon^3 \epsilon^{-3/2} \langle \mathbf{x} | \Psi(t) \rangle \text{ with } |\mathbf{x} - \mathbf{x}_n| < \frac{\epsilon}{2}$$

$$\rightarrow \langle \mathbf{x} | \Psi(t) \rangle \approx \epsilon^{-3/2} \langle \mathbf{x}_n | \Psi(t) \rangle \quad (1.139)$$

Using the completeness relation (1.138), we can write

$$\langle a | \Psi(t) \rangle \approx \sum_n \langle a | \mathbf{x}_n \rangle \langle \mathbf{x}_n | \Psi(t) \rangle$$

$$\approx \sum_n \epsilon^3 \langle a | \mathbf{x} \rangle \langle \mathbf{x} | \Psi(t) \rangle \Big|_{\mathbf{x}=\mathbf{x}_n} \quad (1.141)$$

As  $\epsilon \rightarrow 0$ , the sum goes into an integral and we have

$$\langle a | \Psi(t) \rangle = \int d^3 x \langle a | \mathbf{x} \rangle \langle \mathbf{x} | \Psi(t) \rangle \quad (1.142)$$

which agrees with the completeness relation

$$\int d^3 x \langle \mathbf{x} | \mathbf{x} \rangle \langle \mathbf{x} | = 1 \quad (1.143)$$

Taking  $|\Psi(t)\rangle$  as a vector in the Hilbert space, (1.142) can also be viewed as the transformation of the components of  $|\Psi(t)\rangle$  from the  $|\mathbf{x}\rangle$  basis to the  $|a\rangle$  basis.

In summary, given a basis satisfying the completeness relation

$$\sum_a |a\rangle \langle a| = 1 \quad (1.145)$$

any vector  $|\Psi(t)\rangle$  can be written as

$$|\Psi(t)\rangle = \sum_a |a\rangle \langle a | \Psi(t) \rangle \quad (1.146)$$

which implies

$$\langle b | \Psi(t) \rangle = \sum_a \langle b | a \rangle \langle a | \Psi(t) \rangle \quad (1.147)$$

so that  $\langle b | a \rangle$  is the transformation matrix between the  $|a\rangle$  &  $|b\rangle$  bases.

Using the completeness relation

$$\int d^3 x \langle \mathbf{x} | \mathbf{x} \rangle \langle \mathbf{x} | = 1 \quad (1.148)$$

we can write

$$|\Psi(t)\rangle = \int d^3 x \langle \mathbf{x} | \Psi(t) \rangle |\mathbf{x}\rangle \quad (1.149)$$

in which the wave function  $\Psi(\mathbf{x}, t) = \langle \mathbf{x} | \Psi(t) \rangle$  plays the role of the component of  $|\Psi(t)\rangle$  in the local basis  $|\mathbf{x}\rangle$  basis, which in turn, is the continuum limit of the discrete local basis

$$|\mathbf{x}\rangle \approx \epsilon^{-3/2} |\mathbf{x}_n\rangle \quad (1.150)$$

Since

$$\begin{aligned} \langle \tilde{b} | a \rangle &= \int d^3 x \tilde{f}^b(\mathbf{x})^* f^a(\mathbf{x}) \\ \langle a | \tilde{b} \rangle &= \int d^3 x f^a(\mathbf{x}) \tilde{f}^b(\mathbf{x}) \end{aligned} \quad (1.151)$$

we have

$$\langle \tilde{b} | a \rangle = \langle a | \tilde{b} \rangle^* \quad (1.152)$$

Using the completeness relation (1.145), we can expand  $\Psi(t)$  as a ket vector

$$|\Psi(t)\rangle = \sum_a |a\rangle \langle a | \Psi(t) \rangle \quad (1.153)$$

or a bra vector

$$\langle \Psi(t) | = \sum_a \langle \Psi(t) | a \rangle \langle a | \quad (1.154)$$

#### 1.4.4. Generalized Functions

A Hilbert space was loosely defined [see (1.109)] as a set of vectors with finite norms. Using (1.150) and (1.133), we have

$$\langle \mathbf{x} | \mathbf{x}' \rangle \approx \epsilon^{-3} \langle \mathbf{x}_n | \mathbf{x}_{n'} \rangle = \epsilon^{-3} \delta_{nn'} \quad (1.155)$$

where

$$\left| \mathbf{x} - \mathbf{x}_n \right| < \frac{\epsilon}{2} \quad \text{and} \quad \left| \mathbf{x}' - \mathbf{x}_{n'} \right| < \frac{\epsilon}{2}$$

Thus, for  $\mathbf{x} \neq \mathbf{x}'$ , the states  $|\mathbf{x}\rangle$  and  $|\mathbf{x}'\rangle$  are orthogonal. However, as  $\epsilon \rightarrow 0$ , the norm  $\langle \mathbf{x} | \mathbf{x} \rangle$  becomes infinite so that  $|\mathbf{x}\rangle$  is not a member of the Hilbert space.

Note that

$$\epsilon^3 \sum_{n'} \epsilon^{-3} \delta_{nn'} = \sum_{n'} \delta_{nn'} = 1 \quad (1.156)$$

In the limit  $\epsilon \rightarrow 0$ , (1.155) becomes

$$\langle \mathbf{x} | \mathbf{x}' \rangle = \delta(\mathbf{x} - \mathbf{x}') \quad (1.157)$$

where

$$\delta(\mathbf{x} - \mathbf{x}') = 0 \quad \text{for } \mathbf{x} \neq \mathbf{x}' \quad (1.158)$$

$$\int d^3 x' \delta(\mathbf{x} - \mathbf{x}') = 1 \quad (1.159)$$

As  $\epsilon \rightarrow 0$ , we can treat  $\mathbf{x}_n$ ,  $\mathbf{x}_{n'}$  as continuous variables and the sum becomes an integral

$$\epsilon^3 \sum_{n'} \epsilon^{-3} \delta_{nn'} \approx \int d^3 x_{n'} \delta(\mathbf{x}_{n'} - \mathbf{x}_n) = 1$$

where we have set

$$\delta(\mathbf{x}_n - \mathbf{x}_{n'}) = \lim_{\epsilon \rightarrow 0} \epsilon^{-3} \delta_{nn'}$$

$\delta(\mathbf{x}_n - \mathbf{x}_{n'})$  is the 3-D version of a Dirac delta-function  $\delta(x)$  defined as a distribution ( or generalized function ) satisfying

$$\delta(x - a) = 0 \quad \text{for } x \neq a$$

and

$$\int_{\Omega} dx \delta(x - a) f(x) = f(a) \quad (1.162)$$

where  $f$  is any function and  $\Omega$  is any positively oriented interval that includes the point  $x = a$ . Thus, the  $\delta$ -function is called a **generalized function** or **distribution** which picks out the value of any smooth **test function**  $f$  at some chosen point.

Setting  $f(x) = 1$  in (1.162) gives

$$\int_{\Omega} dx \delta(x - a) = 1 \quad (1.162a)$$

For  $\alpha, \Delta > 0$ , we set  $y = \alpha x$  to get

$$\begin{aligned} \int_{a-\Delta}^{a+\Delta} dx \delta[\alpha(x-a)] f(x) &= \frac{1}{\alpha} \int_{\alpha a - \alpha \Delta}^{\alpha a + \alpha \Delta} dy \delta(y - \alpha a) f\left(\frac{y}{\alpha}\right) = \frac{1}{\alpha} f(a) \\ &= \frac{1}{\alpha} \int_{a-\Delta}^{a+\Delta} dx \delta(x-a) f(x) \end{aligned}$$

$$\rightarrow \delta[\alpha(x-a)] = \frac{1}{\alpha} \delta(x-a)$$

For  $\alpha < 0$ , we set  $y = -\alpha x = |\alpha| x$  to get

$$\begin{aligned} \int_{a-\Delta}^{a+\Delta} dx \delta[\alpha(x-a)] f(x) &= -\frac{1}{\alpha} \int_{-\alpha a + \alpha \Delta}^{-\alpha a - \alpha \Delta} dy \delta(-y - \alpha a) f\left(-\frac{y}{\alpha}\right) \\ &= \frac{1}{|\alpha|} \int_{|\alpha| a - |\alpha| \Delta}^{|\alpha| a + |\alpha| \Delta} dy \delta(-y + |\alpha| a) f\left(\frac{y}{|\alpha|}\right) \end{aligned}$$

$$= \frac{1}{|\alpha|} f(a) = \frac{1}{|\alpha|} \int_{a-\Delta}^{a+\Delta} dx \delta(x-a) f(x)$$

$$\rightarrow \delta[\alpha(x-a)] = \frac{1}{|\alpha|} \delta(x-a) \quad (1.160)$$

which is applicable for all  $\alpha$ . Note that (1.160) assumes the domains of integration are always positively oriented.

For  $\alpha = -1$ , we have

$$\delta[-(x-a)] = \delta(x-a) \quad (1.160a)$$

so that  $\delta$  is an even function.

Setting  $y = f(x)$ , we have

$$\begin{aligned} \mathcal{I} &= \int dx \delta[f(x)] g(x) \\ &= \int dy \frac{1}{|f'(x)|} \delta(y) g(x) \end{aligned}$$

where the absolute value of  $f' = \frac{df}{dx}$  was used for the same reason that led to (1.160). This includes

the implicit assumption that the domain of both integrals are positively oriented. Since  $y = 0$  for all roots  $x_i$  of  $f(x)$ , we have

$$\begin{aligned} \mathcal{I} &= \sum_i \frac{1}{|f'(x_i)|} g(x_i) \\ &= \sum_i \frac{1}{|f'(x_i)|} \int dx \delta(x-x_i) g(x) \end{aligned}$$

where we've assumed that the domain of integration covers all  $x_i$ . Comparing both expressions of  $\mathcal{I}$ , we have

$$\delta[f(x)] = \sum_i \frac{1}{|f'(x_i)|} \delta(x-x_i) \quad (1.161)$$

### 1.4.5. Schrodinger Equation in Dirac Notation

In terms of the bra-ket notation, the Schrodinger eq. can be written as

$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H} |\Psi(t)\rangle \quad (1.163)$$

which is basis independent.

In the  $x$ -representation, one uses the eigenstates  $\{|x\rangle\}$  of the Cartesian position operator  $\hat{x}$  as basis. By definition,

$$\hat{x} |x\rangle = x |x\rangle \quad (1.164a)$$

It is easy to show that  $\hat{x}$  is self-adjoint (hermitian) in the  $x$ -representation. Since hermiticity is independent of basis,  $\hat{x}$  is hermitian. Taking the adjoint on (1.164a), we get

$$\langle x | \hat{x} = x \langle x | \quad (1.165)$$

$$\langle x | \hat{x} | x' \rangle = x \langle x | x' \rangle = x \delta(x-x') \quad (1.167)$$

The canonical commutator

$$[\hat{x}_i, \hat{p}_j] = i\hbar \delta_{ij}$$

implies

$$\langle x | [\hat{x}_i, \hat{p}_j] | x' \rangle = i\hbar \langle x | x' \rangle$$

$$\begin{aligned} \therefore \quad \langle \mathbf{x} | (x_i \hat{p}_i - \hat{p}_i x_i) | \mathbf{x}' \rangle &= i \hbar \delta(\mathbf{x} - \mathbf{x}') \\ &= (x_i - x_i') \langle \mathbf{x} | \hat{p}_i | \mathbf{x}' \rangle \\ \rightarrow \quad (x_i - x_i') \langle \mathbf{x}_i | \hat{p}_i | x_i' \rangle &= i \hbar \delta(x_i - x_i') \end{aligned} \quad (1.164b)$$

Integrating by part gives

$$\int dx \delta'(x-a) f(x) = - \int dx \delta(x-a) f'(x) = f'(a)$$

Setting  $f(x) = x - a$ , we have

$$\int dx \delta'(x-a) (x-a) = - \int dx \delta(x-a)$$

or

$$\delta'(x-a) (x-a) = -\delta(x-a)$$

(1.164b) thus implies

$$\begin{aligned} \langle x_i | \hat{p}_i | x_i' \rangle &= -i \hbar \frac{d}{dx} \delta(x_i - x_i') \\ \rightarrow \quad \langle \mathbf{x} | \hat{\mathbf{p}} | \mathbf{x}' \rangle &= -i \hbar \nabla \delta(\mathbf{x} - \mathbf{x}') = -i \hbar \nabla \langle \mathbf{x} | \mathbf{x}' \rangle \end{aligned} \quad (1.166)$$

Since this is valid for all  $|\mathbf{x}'\rangle$ , we have

$$\therefore \quad \langle \mathbf{x} | \hat{\mathbf{p}} = \frac{\hbar}{i} \nabla \langle \mathbf{x} | \quad (1.164)$$

$$\begin{aligned} \rightarrow \quad \langle \mathbf{x} | H(\hat{\mathbf{x}}, \hat{\mathbf{p}}, t) | \Psi(t) \rangle &= H\left(\hat{\mathbf{x}}, \frac{\hbar}{i} \nabla, t\right) \langle \mathbf{x} | \Psi(t) \rangle \\ &= i \hbar \frac{\partial}{\partial t} \langle \mathbf{x} | \Psi(t) \rangle \end{aligned} \quad (1.168)$$

where (1.163) was used to get last expression.

Setting  $\Psi(\mathbf{x}, t) = \langle \mathbf{x} | \Psi(t) \rangle$ , we recover the familiar form

$$i \hbar \frac{\partial}{\partial t} \Psi(\mathbf{x}, t) = H\left(\hat{\mathbf{x}}, \frac{\hbar}{i} \nabla, t\right) \Psi(\mathbf{x}, t)$$

By definition,

$$\begin{aligned} \langle a | \hat{O} | b \rangle &= \langle a | \hat{O} b \rangle = \langle \hat{O}^+ a | b \rangle \\ &= \langle b | \hat{O}^+ a \rangle^* = \langle b | \hat{O}^+ | a \rangle^* \end{aligned} \quad (1.173)$$

for any states  $a, b$ , and operator  $\hat{O}$ .

If  $\hat{O}$  is hermitian, then

$$\langle a | \hat{O} | b \rangle = \langle b | \hat{O} | a \rangle^*$$

The matrix with elements

$$O_{ab} = \langle a | \hat{O} | b \rangle = O_{ba}^*$$

is therefore a hermitian matrix.

Using (1.164), one can show that  $\hat{\mathbf{p}}$  is hermitian. Hence,

$$\langle a | \hat{\mathbf{x}} | b \rangle = \langle b | \hat{\mathbf{x}} | a \rangle^* \quad (1.169)$$

$$\langle a | \hat{\mathbf{p}} | b \rangle = \langle b | \hat{\mathbf{p}} | a \rangle^* \quad (1.170)$$

For  $\hat{H}$  hermitian,

$$\langle a | \hat{H} | b \rangle = \langle b | \hat{H} | a \rangle^* \quad (1.171)$$



All quantities that have classical counterparts can be expressed in operator form

$$\hat{O}(t) = O(\hat{\mathbf{x}}, \hat{\mathbf{p}}, t) \quad (1.172)$$

The measured values of a physical quantity must be real. Assuming the particle is in some state  $a$ , this means

$$\langle a | \hat{O} | a \rangle = \langle a | \hat{O} | a \rangle^*$$

i.e.,  $\hat{O}$  must be hermitian.

Let  $|E_n\rangle$  be the stationary states of (1.94), then they are also the eigenstates of  $\hat{H}$  so that

$$\hat{H} | E_n \rangle = E_n | E_n \rangle \quad (1.175)$$

### 1.4.6. Momentum States

Consider the momentum eigenstates satisfying

$$\hat{\mathbf{p}} | \mathbf{p} \rangle = \mathbf{p} | \mathbf{p} \rangle \quad (1.176)$$

$$\rightarrow \langle \mathbf{x} | \hat{\mathbf{p}} | \mathbf{p} \rangle = \mathbf{p} \langle \mathbf{x} | \mathbf{p} \rangle$$

Using (1.164), we have

$$\frac{\hbar}{i} \nabla \langle \mathbf{x} | \mathbf{p} \rangle = \mathbf{p} \langle \mathbf{x} | \mathbf{p} \rangle \quad (1.177)$$

Hence,

$$\langle \mathbf{x} | \mathbf{p} \rangle = C e^{i\mathbf{p} \cdot \mathbf{x} / \hbar} \quad (1.178)$$

where  $C$  is some normalization constant. Comparing with (1.75), we see that the wave function of a free particle with momentum  $\mathbf{p}$  is also the eigenstate of  $\hat{\mathbf{p}}$ .

In order for  $| \mathbf{p} \rangle$  to have a finite norm, the particle must be confined to a finite volume, say, a cube of side  $L$  and volume  $L^3$ . Assuming periodic boundary conditions, we have

$$e^{ip_i L / \hbar} = 1 \quad i = 1, 2, 3$$

with solutions

$$\frac{p_i^{m_i} L}{\hbar} = 2\pi m_i \quad m_i = 0, \pm 1, \pm 2, \dots$$

In vector form,

$$\mathbf{p}^m = \frac{2\pi\hbar}{L} \mathbf{m} = \frac{2\pi\hbar}{L} (m_1, m_2, m_3) \quad (1.179)$$

Using

$$\int_{L^3} d^3x |\langle \mathbf{x} | \mathbf{p}^m \rangle|^2 = L^3 |C|^2 = 1 \quad (1.181)$$

we have

$$\langle \mathbf{x} | \mathbf{p}^m \rangle = \frac{1}{L^{3/2}} e^{i\mathbf{p}^m \cdot \mathbf{x} / \hbar} \quad (1.180)$$

$$= \frac{1}{L^{3/2}} e^{i2\pi\mathbf{m} \cdot \mathbf{x} / L} \quad (1.180a)$$

States  $| \mathbf{p}^m \rangle$  are orthonormal since

$$\begin{aligned} \langle \mathbf{p}^{m'} | \mathbf{p}^m \rangle &= \int_{L^3} d^3x \langle \mathbf{p}^{m'} | \mathbf{x} \rangle \langle \mathbf{x} | \mathbf{p}^m \rangle \\ &= \frac{1}{L^3} \int_{L^3} d^3x e^{i(\mathbf{p}^m - \mathbf{p}^{m'}) \cdot \mathbf{x} / \hbar} = \delta_{m, m'} \end{aligned} \quad (1.180b)$$

where the completeness of  $| \mathbf{x} \rangle$  now reads

$$\int_{L^3} d^3 x | \mathbf{x} \rangle \langle \mathbf{x} | = 1 \tag{1.192}$$

Completeness of  $| \mathbf{p}^m \rangle$

$$\sum_m | \mathbf{p}^m \rangle \langle \mathbf{p}^m | = 1 \tag{1.182}$$

can be deduced from

$$\sum_m \langle \mathbf{x} | \mathbf{p}^m \rangle \langle \mathbf{p}^m | \mathbf{x}' \rangle = \frac{1}{L^3} \sum_m e^{i \mathbf{p}^m \cdot (\mathbf{x} - \mathbf{x}') / \hbar} = \delta(\mathbf{x} - \mathbf{x}') = \langle \mathbf{x} | \mathbf{x}' \rangle$$

where we've used the 3-D version of the equality

$$\lim_{N \rightarrow \infty} \frac{1}{L} \sum_{m=-N}^N e^{i 2 \pi m (x-x') / L} = \delta(x-x') \tag{1.182a}$$

The proof of (1.182a) can be done in 2 steps. First, we discretize  $x$  and set  $x_n = n a$ . Since  $x$  &  $p$  come in pairs, we should have the same number of independent  $x_n$  &  $p^m$  points. Hence, we set  $L = (2N + 1) a$  so that

$$\begin{aligned} \frac{1}{L} \sum_{m=-N}^N e^{i 2 \pi m (x_n - x_{n'}) / L} &= \frac{1}{(2N + 1) a} \sum_{m=-N}^N e^{i 2 \pi m (n - n') / (2N + 1)} \\ &= \frac{1}{(2N + 1) a} \sum_{m=-N}^N e^{i m b} \end{aligned} \tag{1.182b}$$

where  $b = \frac{2 \pi (n - n')}{2N + 1}$ .

For  $n \neq n'$ ,

$$\sum_{m=-N}^N e^{i m b} = \frac{e^{-i N b} - e^{i (N + 1) b}}{1 - e^{i b}} = \frac{\sin \left( N + \frac{1}{2} \right) b}{\sin \frac{b}{2}} \xrightarrow{N \rightarrow \infty} 0 \tag{1.182c}$$

For  $n = n'$ ,

$$\sum_{m=-N}^N e^{i m b} = 2N + 1$$

(1.182c) thus becomes

$$\frac{1}{L} \sum_{m=-N}^N e^{i 2 \pi m (x_n - x_{n'}) / L} = \frac{2N + 1}{L} \delta_{nn'} = \frac{1}{a} \delta_{nn'} \tag{1.182d}$$

which implies

$$a \sum_{n=-N}^N \left( \frac{1}{L} \sum_{m=-N}^N e^{i 2 \pi m (x_n - x_{n'}) / L} \right) = 1 \tag{1.182e}$$

Next, in the continuum limit, we set  $a \rightarrow 0$  ( and hence  $N \rightarrow \infty$  ) so that (1.182e) becomes

$$\int_0^L dx \left( \frac{1}{L} \sum_{m=-N}^N e^{i 2 \pi m (x - x') / L} \right) = 1$$

whereas (1.182d) gives

$$\frac{1}{L} \sum_{m=-N}^N e^{i 2 \pi m (x - x') / L} = 0 \quad \text{for } x \neq x'$$

thus proving (1.182a).

Using the completeness (1.182), we can write

$$\Psi(\mathbf{x}, t) = \langle \mathbf{x} | \Psi(t) \rangle = \sum_m \langle \mathbf{x} | \mathbf{p}^m \rangle \langle \mathbf{p}^m | \Psi(t) \rangle \quad (1.183)$$

which is just the Fourier series expansion of  $\Psi$ .

For  $L$  large, (1.179) gives

$$\sum_m \approx \left( \frac{L}{2\pi\hbar} \right)^3 \int d^3 p \quad (1.184)$$

There are numerous ways to define the continuum version of  $|\mathbf{p}^m\rangle$ , depending on the choice of normalization.

If we set the counterpart of the completeness (1.182) as

$$\int \frac{d^3 p}{(2\pi\hbar)^3} |\mathbf{p}\rangle \langle \mathbf{p}| = 1 \quad (1.187)$$

then

$$|\mathbf{p}\rangle = L^{3/2} |\mathbf{p}^m\rangle \quad (1.185)$$

$$\langle \mathbf{x} | \mathbf{p} \rangle = e^{i\mathbf{p} \cdot \mathbf{x} / \hbar} \quad (1.189)$$

and the orthogonality (1.180b) becomes

$$\langle \mathbf{p}' | \mathbf{p} \rangle = \int_{L^3} d^3 x e^{i(\mathbf{p}-\mathbf{p}') \cdot \mathbf{x} / \hbar} = (2\pi\hbar)^3 \delta(\mathbf{p}-\mathbf{p}') \quad (1.186)$$

The expansion (1.183) becomes

$$\Psi(\mathbf{x}, t) = \int \frac{d^3 p}{(2\pi\hbar)^3} \langle \mathbf{x} | \mathbf{p} \rangle \langle \mathbf{p} | \Psi(t) \rangle \quad (1.188)$$

Using the completeness (1.192), we have

$$\begin{aligned} \langle \mathbf{p} | \Psi(t) \rangle &= \int d^3 x \langle \mathbf{p} | \mathbf{x} \rangle \langle \mathbf{x} | \Psi(t) \rangle \\ &= \int d^3 x e^{-i\mathbf{p} \cdot \mathbf{x} / \hbar} \Psi(\mathbf{x}, t) \end{aligned} \quad (1.191)$$

$\langle \mathbf{p} | \Psi(t) \rangle$  is therefore the inverse Fourier transform of  $\Psi(\mathbf{x}, t)$ . It is often called the **momentum space wave function**.

### 1.4.7. Incompleteness and Poisson's Summation Formula

We wish to find out what happens when only a subset of the basis is used.

For the sake of simplicity, consider the 1-D case with the basis  $\{ |x\rangle \}$  satisfying the completeness

$$\int dx |x\rangle \langle x| = 1 \quad (1.194)$$

An often used subset of the basis is

$$B_N = \{ |x_n\rangle; n = -N, \dots, N \} \quad \text{with} \quad x_n = na \quad (1.194a)$$

Of particular interest is the sum

$$S_N = \sum_{n=-N}^N |x_n\rangle \langle x_n| \quad (1.195)$$

To begin,

$$\langle p | S_N | p' \rangle = \sum_{n=-N}^N \langle p | x_n \rangle \langle x_n | p' \rangle$$

$$= \sum_{n=-N}^N e^{i(\rho'-\rho) n a / \hbar} \tag{1.196}$$

Next, we shall make use of the **Poisson summation formula**

$$\sum_{n=-\infty}^{\infty} e^{2\pi i \mu n} = \sum_{m=-\infty}^{\infty} \delta(\mu - m) \tag{1.197}$$

which is a generalization of (1.182a) achieved by setting  $L = 1$  so that  $\mu = \frac{x - x'}{L}$  is no longer restricted to values smaller than 1. Conversely, (1.182a) is just a special case of (1.197) with  $m = 0$ . Kleinert also provided a similar proof [ see eqs(1.199, 201-3) & Fig.2.4 ].

Thus (1.196) implies

$$\begin{aligned} \langle p | S_{\infty} | p' \rangle &= \sum_{m=-\infty}^{\infty} \langle p | x_n \rangle \langle x_n | p' \rangle \\ &= \sum_{m=-\infty}^{\infty} \delta\left(\frac{(\rho' - \rho) a}{2 \pi \hbar} - m\right) \\ &= \sum_{m=-\infty}^{\infty} \frac{2 \pi \hbar}{a} \delta\left(\rho' - \rho - \frac{2 \pi \hbar}{a} m\right) \end{aligned} \tag{1.198}$$

On the other hand, keeping  $N$  finite in (1.182c) allows us to write (1.196) as

$$\begin{aligned} \langle p | S_N | p' \rangle &= \sum_{n=-N}^N \langle p | x_n \rangle \langle x_n | p' \rangle \\ &= \frac{\sin \frac{(\rho' - \rho)(2N+1)a}{2 \hbar}}{\sin \frac{(\rho' - \rho)a}{2 \hbar}} \end{aligned} \tag{1.200}$$

In (1.201-3), Kleinert showed by direct integration that the right hand side of (1.120) was indeed a sum of delta functions as  $N \rightarrow \infty$ . We'll skip this since we've already done it by other means in the proof of (1.182a).

Consider now a smooth function  $f(\mu)$  for which the sum

$$S = \sum_{m=-\infty}^{\infty} f(m) \tag{1.204}$$

is convergent. From the Poisson's formula (1.197), we have

$$\begin{aligned} \sum_{n=-\infty}^{\infty} e^{2\pi i \mu n} f(\mu) &= \sum_{m=-\infty}^{\infty} \delta(\mu - m) f(\mu) \\ \rightarrow \int_{-\infty}^{\infty} d\mu \sum_{n=-\infty}^{\infty} e^{2\pi i \mu n} f(\mu) &= \sum_{m=-\infty}^{\infty} \int_{-\infty}^{\infty} d\mu \delta(\mu - m) f(\mu) \\ &= \sum_{m=-\infty}^{\infty} f(m) \end{aligned} \tag{1.205}$$