

## I.5. Observables

According to the corresponding principle, any classical real function  $A = A(\mathbf{x}, \mathbf{p})$  on the phase space becomes a quantum observable if  $\mathbf{x}$  &  $\mathbf{p}$  are replaced by their operator counterparts, provided all operator ordering issues are resolved. Since all measured values on the observable must be real, the operator

$$\hat{A} = A(\hat{\mathbf{x}}, \hat{\mathbf{p}}) \quad (1.206)$$

must be hermitian. If the procedure leads to multiple possibilities, additional conditions, such as comparisons to experiments, must be imposed to remove any ambiguity [ see Chap 8 ].

If  $\hat{A}$  is hermitian, its eigenstates  $|a\rangle$  defined by

$$\hat{A}|a\rangle = a|a\rangle \quad (1.207)$$

form a basis that spans the Hilbert space of the system. By properly dealing with any case of degeneracy, one can always select a set of orthonormal eigenstates that is also complete:

$$\begin{aligned} \langle a|a'\rangle &= \delta_{aa'} \\ \sum_a |a\rangle\langle a| &= 1 \end{aligned} \quad (1.208)$$

By definition, any measurement on  $A$  gives the value  $a$  if the system is in state  $|a\rangle$ . Using (1.208), we can write

$$|\Psi(t)\rangle = \sum_a |a\rangle\langle a|\Psi(t)\rangle \quad (1.209)$$

The expectation value of  $A$  with respect to state  $\Psi$  is therefore

$$\begin{aligned} \langle \Psi(t)|\hat{A}|\Psi(t)\rangle &= \sum_{a,a'} \langle \Psi(t)|a'\rangle \langle a'|\hat{A}|a\rangle \langle a|\Psi(t)\rangle \\ &= \sum_a a |\langle a|\Psi(t)\rangle|^2 \end{aligned} \quad (1.209a)$$

which shows that the probability of getting the value  $a$  from a measurement of  $A$  when the system is in state  $\Psi$  is proportional to

$$|\langle a|\Psi(t)\rangle|^2 \quad (1.210)$$

For example, in the  $x$ -representation, the wave function

$$\Psi(\mathbf{x}, t) = \langle \mathbf{x}|\Psi(t)\rangle \quad (1.211)$$

is just the probability amplitude for finding the particle at  $\mathbf{x}$ . A slight generalization of (1.209a) gives

$$\langle \Phi(t)|\hat{A}|\Psi(t)\rangle = \int d^3x' \int d^3x \langle \Phi(t)|\mathbf{x}'\rangle \langle \mathbf{x}'|\hat{A}|\mathbf{x}\rangle \langle \mathbf{x}|\Psi(t)\rangle$$

If  $A$  takes the form (1.206), we have

$$\begin{aligned} \langle \mathbf{x}'|\hat{A}|\mathbf{x}\rangle &= A\left(\mathbf{x}', \frac{\hbar}{i}\nabla'\right) \delta(\mathbf{x}' - \mathbf{x}) \\ &= A\left(\mathbf{x}, -\frac{\hbar}{i}\nabla\right) \delta(\mathbf{x}' - \mathbf{x}) \end{aligned}$$

so that, after an integration by part,

$$\begin{aligned} \langle \Phi(t)|\hat{A}|\Psi(t)\rangle &= \int d^3x' \int d^3x \langle \Phi(t)|\mathbf{x}'\rangle \delta(\mathbf{x}' - \mathbf{x}) A\left(\mathbf{x}, \frac{\hbar}{i}\nabla\right) \langle \mathbf{x}|\Psi(t)\rangle \\ &= \int d^3x \langle \Phi(t)|\mathbf{x}\rangle A\left(\mathbf{x}, \frac{\hbar}{i}\nabla\right) \langle \mathbf{x}|\Psi(t)\rangle \end{aligned} \quad (1.212)$$

$$= \int d^3 x \Phi^*(\mathbf{x}, t) A \left( \mathbf{x}, \frac{\hbar}{i} \nabla \right) \Psi(\mathbf{x}, t)$$

### 1.5.1. Uncertainty Relation

The following shows that the uncertainty principle

$$\Delta x_i \Delta p_j \geq \hbar \delta_{ij} \quad (1.213)$$

is a consequence of the canonical commutation relations

$$[\hat{x}_i, \hat{p}_j] = i \hbar \delta_{ij} \quad (1.214)$$

In general, the only measurable values of an observable  $A$  are the eigenvalues  $a$  of the operator  $\hat{A}$  defined by

$$\hat{A} | a \rangle = a | a \rangle \quad (1.215)$$

If the system is in one of the eigenstates,  $| a \rangle$ , then the measured value is  $a$  and the system stays in state  $| a \rangle$  afterwards. If the system is in a normalized state

$$| \Psi(t) \rangle = \sum_a | a \rangle \langle a | \Psi(t) \rangle \quad (1.216)$$

the probability of measuring a value  $a'$  is  $|\langle a' | \Psi(t) \rangle|^2$ . Immediately after getting a value  $a'$ , the system collapses to state  $| a' \rangle$ .

If the measurement of another observable  $B$  does not affect the measurement of  $A$ , the eigenstates  $| a \rangle$  must also be eigenstates of  $B$ , i.e.,

$$\hat{B} | a \rangle = b_a | a \rangle \quad (1.217)$$

then

$$\begin{aligned} \hat{A} \hat{B} | a \rangle &= b_a \hat{A} | a \rangle = b_a a | a \rangle \\ \hat{B} \hat{A} | a \rangle &= a \hat{B} | a \rangle = a b_a | a \rangle \\ \rightarrow (\hat{A} \hat{B} - \hat{B} \hat{A}) | a \rangle &= 0 \quad \forall | a \rangle \end{aligned} \quad (1.218)$$

$$\therefore [\hat{A}, \hat{B}] = 0 \quad (1.219)$$

Thus, (1.219) implies  $A$  and  $B$  are not subject to the uncertainty principle, i.e.,

$$\Delta A \Delta B = 0$$

Conversely, (1.213) must be due to (1.214).

### 1.5.2. Density Matrix and Wigner Function

The **density operator** for a pure state  $\Psi$  is defined as

$$\hat{\rho}(t) = | \Psi(t) \rangle \langle \Psi(t) | \quad (1.220)$$

In the  $x$ -representation, the **density matrix** has elements

$$\begin{aligned} \rho(\mathbf{x}_1, \mathbf{x}_2; t) &= \langle \mathbf{x}_1 | \hat{\rho}(t) | \mathbf{x}_2 \rangle \\ &= \langle \mathbf{x}_1 | \Psi(t) \rangle \langle \Psi(t) | \mathbf{x}_2 \rangle \end{aligned} \quad (1.221)$$

The expectation value of any operator  $f(\hat{\mathbf{x}}, \hat{\mathbf{p}})$  with respect to  $\Psi$  can be written as

$$\begin{aligned} \langle \Psi(t) | f(\hat{\mathbf{x}}, \hat{\mathbf{p}}) | \Psi(t) \rangle &= \int d^3 x \langle \Psi(t) | \mathbf{x} \rangle \langle \mathbf{x} | f(\mathbf{x}, \hat{\mathbf{p}}) | \Psi(t) \rangle \\ &= \int d^3 x \langle \mathbf{x} | f(\mathbf{x}, \hat{\mathbf{p}}) | \Psi(t) \rangle \langle \Psi(t) | \mathbf{x} \rangle \\ &= \int d^3 x \langle \mathbf{x} | f(\mathbf{x}, \hat{\mathbf{p}}) \hat{\rho}(t) | \mathbf{x} \rangle \end{aligned}$$

$$= \text{tr}[f(\mathbf{x}, \hat{\mathbf{p}}) \hat{\rho}(t)] \quad (1.222)$$

Thus,  $\hat{\rho}$  acts like the statistical operator in statistical mechanics.

Expanding  $|\Psi(t)\rangle$  in terms of the energy eigenstates  $|E_n\rangle$ , we have

$$|\Psi(t)\rangle = \sum_n |E_n\rangle \langle E_n | \Psi(t)\rangle$$

$$\langle \Psi(t) | = \sum_m \langle \Psi(t) | E_m\rangle \langle E_m |$$

and (1.220) becomes

$$\begin{aligned} \hat{\rho}(t) &= \sum_{n,m} |E_n\rangle \langle E_n | \Psi(t)\rangle \langle \Psi(t) | E_m\rangle \langle E_m | \\ &= \sum_{n,m} |E_n\rangle \langle E_n | \hat{\rho}(t) | E_m\rangle \langle E_m | \\ &= \sum_{n,m} |E_n\rangle \rho_{nm}(t) \langle E_m | \end{aligned} \quad (1.223)$$

Let

$$\mathbf{X} = \frac{1}{2}(\mathbf{x}_1 + \mathbf{x}_2) \quad \Delta \mathbf{x} = \mathbf{x}_1 - \mathbf{x}_2$$

$$\rightarrow \mathbf{x}_1 = \mathbf{X} + \frac{1}{2} \Delta \mathbf{x} \quad \mathbf{x}_2 = \mathbf{X} - \frac{1}{2} \Delta \mathbf{x}$$

$$\therefore \rho(\mathbf{x}_1, \mathbf{x}_2; t) = \rho\left(\mathbf{X} + \frac{1}{2} \Delta \mathbf{x}, \mathbf{X} - \frac{1}{2} \Delta \mathbf{x}; t\right)$$

The **Wigner function** is the Fourier transform on the relative coordinates  $\Delta \mathbf{x}$ ,

$$W(\mathbf{X}, \mathbf{p}; t) = \int \frac{d^3 \Delta \mathbf{x}}{(2\pi\hbar)^3} e^{i\mathbf{p} \cdot \Delta \mathbf{x} / \hbar} \rho\left(\mathbf{X} + \frac{1}{2} \Delta \mathbf{x}, \mathbf{X} - \frac{1}{2} \Delta \mathbf{x}; t\right) \quad (1.224)$$

For a particle of mass  $M$  in a potential  $V(\mathbf{x})$ , it can be shown that  $W$  satisfies the Wigner-Liouville eq. [ see §7.G of L.E.Reichl, "A Modern Course in Statistical Physics" for proof ]

$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla_{\mathbf{x}} \right) W(\mathbf{X}, \mathbf{p}; t) = W_t(\mathbf{X}, \mathbf{p}; t) \quad (1.225)$$

where

$$\mathbf{v} = \frac{\mathbf{p}}{M}$$

$$W_t(\mathbf{X}, \mathbf{p}; t) = \frac{i}{\hbar} \int \frac{d^3 q}{(2\pi\hbar)^3} W(\mathbf{X}, \mathbf{p} - \mathbf{q}; t) \quad (1.226)$$

$$\times \int d^3 \Delta \mathbf{x} \left[ V\left(\mathbf{X} + \frac{1}{2} \Delta \mathbf{x}\right) - V\left(\mathbf{X} - \frac{1}{2} \Delta \mathbf{x}\right) \right] e^{i\mathbf{q} \cdot \Delta \mathbf{x} / \hbar}$$

In the limit  $\hbar \rightarrow 0$ , only terms small in both  $|\mathbf{q}|$  and  $|\Delta \mathbf{x}|$  can avoid rapid fluctuations in  $e^{i\mathbf{q} \cdot \Delta \mathbf{x} / \hbar}$ . Keeping the lowest order terms only, we get

$$\Delta V = V\left(\mathbf{X} + \frac{1}{2} \Delta \mathbf{x}\right) - V\left(\mathbf{X} - \frac{1}{2} \Delta \mathbf{x}\right) \approx \Delta \mathbf{x} \cdot \nabla_{\mathbf{x}} V(\mathbf{X})$$

$$\rightarrow \int d^3 \Delta \mathbf{x} (\Delta V) e^{i\mathbf{q} \cdot \Delta \mathbf{x} / \hbar} \approx \frac{\hbar}{i} [\nabla_{\mathbf{x}} V(\mathbf{X})] \cdot \nabla_{\mathbf{q}} \int d^3 \Delta \mathbf{x} e^{i\mathbf{q} \cdot \Delta \mathbf{x} / \hbar}$$

$$= \frac{\hbar}{i} (2\pi\hbar)^3 [\nabla_{\mathbf{x}} V(\mathbf{X})] \cdot \nabla_{\mathbf{q}} \delta(\mathbf{q})$$

$$\therefore W_t(\mathbf{X}, \mathbf{p}; t) \approx \int d^3 q W(\mathbf{X}, \mathbf{p} - \mathbf{q}; t) [\nabla_{\mathbf{x}} V(\mathbf{X})] \cdot \nabla_{\mathbf{q}} \delta(\mathbf{q})$$

$$\begin{aligned}
&= [\nabla_{\mathbf{x}} V(\mathbf{X})] \cdot \nabla_{\mathbf{p}} W(\mathbf{X}, \mathbf{p}; t) \\
&= -\mathbf{F}(\mathbf{X}) \cdot \nabla_{\mathbf{p}} W(\mathbf{X}, \mathbf{p}; t)
\end{aligned}$$

where

$$\mathbf{F}(\mathbf{X}) = -\nabla_{\mathbf{x}} V(\mathbf{X})$$

is the force due to  $V$ .

(1.225) thus becomes the classical Liouville equation

$$\left( \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla_{\mathbf{x}} \right) W(\mathbf{X}, \mathbf{p}; t) = -\mathbf{F}(\mathbf{X}) \cdot \nabla_{\mathbf{p}} W(\mathbf{X}, \mathbf{p}; t) \quad (1.227)$$

### 1.5.3. Generalization to Many Particles

Generalization to a system of  $N$  distinguishable particles with Cartesian coordinates  $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_N)$  is straightforward. With  $\mathbf{p} = (\mathbf{p}_1, \dots, \mathbf{p}_N)$ , the Schrodinger eq. is

$$i \hbar \frac{\partial}{\partial t} | \Psi(t) \rangle = H(\hat{\mathbf{x}}, \hat{\mathbf{p}}, t) | \Psi(t) \rangle \quad (1.228)$$

In the  $x$ -representation, the basis vectors are direct products of the 1-particle states

$$| \mathbf{x}_1, \dots, \mathbf{x}_N \rangle \equiv | \mathbf{x}_1 \rangle \otimes \dots \otimes | \mathbf{x}_N \rangle$$

with orthonormality

$$\langle \mathbf{x}_1, \dots, \mathbf{x}_N | \mathbf{x}'_1, \dots, \mathbf{x}'_N \rangle = \delta(\mathbf{x}_1 - \mathbf{x}'_1) \dots \delta(\mathbf{x}_N - \mathbf{x}'_N) \quad (1.229)$$

and completeness

$$\int d^3 x_1 \dots \int d^3 x_N | \mathbf{x}_1, \dots, \mathbf{x}_N \rangle \langle \mathbf{x}_1, \dots, \mathbf{x}_N | = 1 \quad (1.229a)$$

The analog of (1.166) is

$$\langle \mathbf{x}_1, \dots, \mathbf{x}_N | \hat{\mathbf{p}}_v | \Psi \rangle = \frac{\hbar}{i} \nabla_{\mathbf{x}_v} \langle \mathbf{x}_1, \dots, \mathbf{x}_N | \Psi \rangle \quad (1.230)$$