

4.5. Schrodinger Field

Hamiltonian

Classical field

$$\mathcal{H}_P = \frac{1}{2} g (\phi^* \phi - v^2)^2$$

$$\mathcal{L} = i \hbar \phi^* \partial_t \phi - \frac{\hbar^2}{2m} \nabla \phi^* \cdot \nabla \phi - \frac{1}{2} g (\phi^* \phi - v^2)^2$$

$$\pi = \frac{\partial \mathcal{L}}{\partial (\partial_t \phi)} = i \hbar \phi^* \quad \pi^* = \frac{\partial \mathcal{L}}{\partial (\partial_t \phi^*)} = 0 \neq (\pi)^*$$

$$\mathcal{H} = \frac{\hbar^2}{2m} \nabla \phi^* \cdot \nabla \phi + \frac{1}{2} g (\phi^* \phi - v^2)^2$$

\mathcal{H} is invariant under gauge transformation

$$\phi(x) \rightarrow e^{i\alpha} \phi(x) \quad \alpha = \text{real constant}$$

Minimum of \mathcal{H} is at

$$\phi^* \phi = v^2$$

or $\phi = v e^{i\beta} \quad \beta = \text{real constant}$

→ Ground state (of quantized field) is infinitely degenerated.

Gauge symmetry is spontaneously broken when system assumes a ground state with a particular value of β .

Since

$$\rho = \phi^* \phi = \text{particle density}$$

chemical potential of system is

$$\mu \equiv \frac{\partial \mathcal{H}}{\partial \rho} = g(\rho - v^2)$$

→ $\mu = 0$ for the ground state.

For excited states, set

$$\phi(x) = v + \eta(x) \quad (\beta = 0 \text{ chosen})$$

→ $\phi^* \phi = v^2 + v(\eta + \eta^*) + \eta^* \eta$

$$\begin{aligned} \mathcal{H}_P &= \frac{1}{2} g [v(\eta + \eta^*) + \eta^* \eta]^2 \\ &= \frac{1}{2} g v^2 (\eta^2 + 2 \eta^* \eta + \eta^{*2}) + \mathcal{H}_{\text{int}} \end{aligned}$$

where

$$\mathcal{H}_{\text{int}} = \frac{1}{2} g [2v(\eta + \eta^*) + \eta^* \eta] \eta^* \eta$$

Quantization :

$$[\phi(t, \mathbf{r}), \pi(t, \mathbf{r}')] = i \hbar \delta(\mathbf{r} - \mathbf{r}')$$

→ $[\phi(t, \mathbf{r}), \phi^+(t, \mathbf{r}')] = \delta(\mathbf{r} - \mathbf{r}')$

$$[\eta(t, \mathbf{r}), \eta^+(t, \mathbf{r}')] = \delta(\mathbf{r} - \mathbf{r}')$$

$$[\phi(t, \mathbf{r}), \phi(t, \mathbf{r}')] = [\phi^+(t, \mathbf{r}), \phi^+(t, \mathbf{r}')] = 0$$

→ $[\eta(t, \mathbf{r}), \eta(t, \mathbf{r}')] = [\eta^+(t, \mathbf{r}), \eta^+(t, \mathbf{r}')] = 0$

$$\mathcal{H} = \frac{\hbar^2}{2m} \nabla \eta^+ \cdot \nabla \eta + \frac{1}{2} g v^2 (\eta^2 + \eta \eta^+ + \eta^+ \eta + \eta^+ \eta^2) + \mathcal{H}_{\text{int}}$$

$$\mathcal{H}_{\text{int}} = \frac{1}{2} g v \left[(\eta + \eta^+) \eta^+ \eta + \eta^+ \eta (\eta + \eta^+) + \frac{1}{v} (\eta^+ \eta)^2 \right]$$

Plane Wave Expansion

$$\eta(x) = \int \frac{d^3 k}{(2\pi)^{3/2}} \eta_k e^{-i k x}$$

where

$$k x = \omega_k t - \mathbf{k} \cdot \mathbf{r} \quad \omega_k = \frac{\epsilon_k}{\hbar} = \frac{\hbar \mathbf{k}^2}{2m}$$

$$\begin{aligned} & [\eta(t, \mathbf{r}), \eta^+(t, \mathbf{r}')] = \delta(\mathbf{r} - \mathbf{r}') \\ \rightarrow & [\eta_k, \eta_l^+] = \delta(\mathbf{k} - \mathbf{l}) \end{aligned}$$

$$\begin{aligned} & [\eta(t, \mathbf{r}), \eta(t, \mathbf{r}')] = [\eta^+(t, \mathbf{r}), \eta^+(t, \mathbf{r}')] = 0 \\ \rightarrow & [\eta_k, \eta_l] = [\eta_k^+, \eta_l^+] = 0 \end{aligned}$$

Fock vacuum of η :

$$\begin{aligned} \eta_k | 0 \rangle &= 0 & \forall \mathbf{k} \\ \langle 0 | \eta_k^+ &= 0 \end{aligned}$$

$$\rightarrow \langle 0 | \eta(x) | 0 \rangle = \int \frac{d^3 k}{(2\pi)^{3/2}} \langle 0 | \eta_k | 0 \rangle e^{-i k x} = 0$$

$$\begin{aligned} \langle 0 | \phi(x) | 0 \rangle &= \langle 0 | v + \eta(x) | 0 \rangle = v \\ &= \langle 0 | v | 0 \rangle + \int \frac{d^3 k}{(2\pi)^{3/2}} \langle 0 | \eta_k | 0 \rangle e^{-i k x} \\ &= v \end{aligned}$$

$$\begin{aligned} \langle 0 | \rho(x) | 0 \rangle &= \langle 0 | \phi^+(x) \phi(x) | 0 \rangle \\ &= \langle 0 | [v + \eta^+(x)] [v + \eta(x)] | 0 \rangle \\ &= v^2 \end{aligned}$$

$$\mathcal{H}_{\text{free}} = \frac{\hbar^2}{2m} \nabla \eta^+ \cdot \nabla \eta + \frac{1}{2} g v^2 (\eta^2 + \eta \eta^+ + \eta^+ \eta + \eta^+ \eta^2)$$

$$\begin{aligned} H_{\text{free}} &= \int d^3 r \mathcal{H}_{\text{free}} \\ &= \int d^3 k \mathcal{H}_{\text{free}}(\mathbf{k}) \end{aligned}$$

Applying the plane wave expansion rules (Fourier transform with factor $(2\pi)^3$ absorbed in the plane wave normalization, see §3.2),

$$\begin{aligned} f^2 &\rightarrow f_k f_{-k} & f^{+2} &\rightarrow f_k^+ f_{-k}^+ \\ f^+ f &\rightarrow \frac{1}{2} (f_k^+ f_k + f_k f_k^+) = f_k^+ f_k + \frac{1}{2} \delta(0) \end{aligned}$$

we have

$$\mathcal{H}_{\text{free}}(\mathbf{k}) = \frac{1}{2} \epsilon_k (\eta_k^+ \eta_k + \eta_k \eta_k^+) + \frac{1}{2} g v^2 (\eta_k \eta_{-k} + \eta_k \eta_k^+ + \eta_k^+ \eta_k + \eta_k^+ \eta_{-k}^+)$$

$$\begin{aligned}
&= \varepsilon_k \eta_k^+ \eta_k + U_k (\eta_k \eta_{-k} + 2 \eta_k^+ \eta_k + \eta_k^+ \eta_{-k}^+) + \left(\frac{1}{2} \varepsilon_k + U_k \right) \delta(0) \\
&= (\varepsilon_k + 2 U_k) \eta_k^+ \eta_k + U_k (\eta_k \eta_{-k} + \eta_k^+ \eta_{-k}^+) + \left(\frac{1}{2} \varepsilon_k + U_k \right) \delta(0)
\end{aligned}$$

where
$$U_k = \frac{1}{2} g v^2$$

∴ Fock vacuum $|0\rangle$ is not the ground state if $v \neq 0$.

Ground State

Eigenstates of $\mathcal{H}_{\text{free}}(\mathbf{k})$ are found by diagonalization by means of a Bogoliubov transformation (see BogoliubovTransformation.pdf):

$$\zeta_k = c_k \eta_k + s_k \eta_{-k}^+ \quad \zeta_k^+ = c_k \eta_k^+ + s_k \eta_{-k}$$

where

$$\begin{aligned}
c_k &= \cosh \tau_k & s_k &= \sinh \tau_k \\
c_k^2 - s_k^2 &= 1
\end{aligned}$$

with

$$\begin{aligned}
[\tau_k, \tau_l^+] &= \delta(\mathbf{k} - \mathbf{l}) \\
[\tau_k, \tau_l] &= [\tau_k^+, \tau_l^+] = 0
\end{aligned}$$

Inverse transform is

$$\eta_k = c_k \zeta_k - s_k \zeta_{-k}^+ \quad \eta_k^+ = c_k \zeta_k^+ - s_k \zeta_{-k}$$

Hence,

$$\begin{aligned}
\eta_k^+ \eta_k &= c_k^2 \zeta_k^+ \zeta_k + s_k^2 \zeta_{-k} \zeta_{-k}^+ - c_k s_k (\zeta_k^+ \zeta_{-k}^+ + \zeta_{-k} \zeta_k) \\
\eta_k \eta_{-k} &= c_k^2 \zeta_k \zeta_{-k} + s_k^2 \zeta_{-k}^+ \zeta_k^+ - c_k s_k (\zeta_k \zeta_k^+ + \zeta_{-k}^+ \zeta_{-k}) \\
\eta_k^+ \eta_{-k}^+ &= c_k^2 \zeta_k^+ \zeta_{-k}^+ + s_k^2 \zeta_{-k} \zeta_k - c_k s_k (\zeta_k^+ \zeta_k + \zeta_{-k} \zeta_{-k}^+)
\end{aligned}$$

Since $\mathcal{H}_{\text{free}}$ is invariant under $\mathbf{k} \rightarrow -\mathbf{k}$, each term in $\mathcal{H}_{\text{free}}(\mathbf{k})$ can be replaced by one obtained by taking $\mathbf{k} \rightarrow -\mathbf{k}$. For example,

$$s_k^2 \zeta_{-k} \zeta_{-k}^+ = s_{-k}^2 \zeta_k \zeta_k^+ = s_k^2 \zeta_k \zeta_k^+$$

where we've assumed $\tau_{-k} = \tau_k$ so that

$$c_{-k} = c_k \quad s_{-k} = s_k$$

$$\begin{aligned}
\rightarrow \quad \mathcal{H}_{\text{free}}(\mathbf{k}) &= (\varepsilon_k + 2 U_k) [c_k^2 \zeta_k^+ \zeta_k + s_k^2 \zeta_k \zeta_k^+ - c_k s_k (\zeta_k^+ \zeta_{-k}^+ + \zeta_{-k} \zeta_k)] \\
&\quad + U_k (c_k^2 + s_k^2) (\zeta_k \zeta_{-k} + \zeta_{-k}^+ \zeta_k^+) \\
&\quad - 2 U_k c_k s_k (\zeta_k \zeta_k^+ + \zeta_k^+ \zeta_k) + \left(\frac{1}{2} \varepsilon_k + U_k \right) \delta(0) \\
&= (\varepsilon_k + 2 U_k) [(c_k^2 + s_k^2) \zeta_k^+ \zeta_k + s_k^2 \delta(0)] \\
&\quad + [-(\varepsilon_k + 2 U_k) c_k s_k + U_k (c_k^2 + s_k^2)] (\zeta_k \zeta_{-k} + \zeta_{-k}^+ \zeta_k^+) \\
&\quad - 2 U_k c_k s_k [2 \zeta_k^+ \zeta_k + \delta(0)] \\
&\quad + \left(\frac{1}{2} \varepsilon_k + U_k \right) \delta(0) \\
&= [(\varepsilon_k + 2 U_k) (c_k^2 + s_k^2) - 4 U_k c_k s_k] \zeta_k^+ \zeta_k \\
&\quad + [-(\varepsilon_k + 2 U_k) c_k s_k + U_k (c_k^2 + s_k^2)] (\zeta_k \zeta_{-k} + \zeta_{-k}^+ \zeta_k^+) \\
&\quad + [(\varepsilon_k + 2 U_k) s_k^2 - 2 U_k c_k s_k + \frac{1}{2} \varepsilon_k + U_k] \delta(0)
\end{aligned}$$

$\mathcal{H}_{\text{free}}(\mathbf{k})$ is diagonal if

$$-(\varepsilon_k + 2 U_k) c_k s_k + U_k (c_k^2 + s_k^2) = 0$$

so that

$$\begin{aligned} \mathcal{H}_{\text{free}}(\mathbf{k}) &= [(\varepsilon_k + 2 U_k) (c_k^2 + s_k^2) - 4 U_k c_k s_k] \zeta_k^+ \zeta_k \\ &\quad + [(\varepsilon_k + 2 U_k) s_k^2 - 2 U_k c_k s_k + \frac{1}{2} \varepsilon_k + U_k] \delta(0) \end{aligned}$$

Dropping the subscript k for clarity, we have

$$(\varepsilon + 2 U)^2 c^2 s^2 = U^2 (c^2 + s^2)^2$$

$$(\varepsilon + 2 U)^2 c^2 (c^2 - 1) = U^2 (2 c^2 - 1)^2$$

$$\rightarrow A c^2 (c^2 - 1) = (2 c^2 - 1)^2 \quad \text{where} \quad A = \left(\frac{\varepsilon + 2 U}{U} \right)^2$$

$$(A - 4) c^4 - (A - 4) c^2 - 1 = 0$$

$$\rightarrow c^2 = \frac{1}{2} \left(1 \pm \sqrt{1 + \frac{4}{A - 4}} \right) = \frac{1}{2} \left(1 \pm \sqrt{\frac{A}{A - 4}} \right)$$

$$A - 4 = \frac{(\varepsilon + 2 U)^2 - 4 U^2}{U^2} = \frac{\varepsilon (\varepsilon + 4 U)}{U^2}$$

$$\frac{A}{A - 4} = \frac{(\varepsilon + 2 U)^2}{\varepsilon (\varepsilon + 4 U)}$$

$$\rightarrow c^2 = \frac{1}{2} \left(1 \pm \frac{\varepsilon + 2 U}{\sqrt{\varepsilon (\varepsilon + 4 U)}} \right)$$

Since c_k is real $\forall k$, we set

$$c_k^2 = \frac{1}{2} \left(1 + \frac{\varepsilon_k + 2 U_k}{\sqrt{\varepsilon_k (\varepsilon_k + 4 U_k)}} \right) = \frac{1}{2} \left(1 + \frac{\varepsilon_k + 2 U_k}{E_k} \right)$$

where

$$E_k = \sqrt{\varepsilon_k (\varepsilon_k + 4 U_k)}$$

$$s_k^2 = c_k^2 - 1 = \frac{1}{2} \left(\frac{\varepsilon_k + 2 U_k}{E_k} - 1 \right)$$

$$\begin{aligned} c_k s_k &= \frac{1}{2} \sqrt{\left(\frac{\varepsilon_k + 2 U_k}{E_k} \right)^2 - 1} \\ &= \frac{1}{2 E_k} \sqrt{(\varepsilon_k + 2 U_k)^2 - \varepsilon_k (\varepsilon_k + 4 U_k)} = \frac{U_k}{E_k} \end{aligned}$$

$$\begin{aligned} (\varepsilon_k + 2 U_k) (c_k^2 + s_k^2) - 4 U_k c_k s_k &= (\varepsilon_k + 2 U_k) \frac{\varepsilon_k + 2 U_k}{E_k} - 4 \frac{U_k^2}{E_k} \\ &= \frac{1}{E_k} [(\varepsilon_k + 2 U_k)^2 - 4 U_k^2] \\ &= \frac{1}{E_k} \varepsilon_k (\varepsilon_k + 4 U_k) = E_k \end{aligned}$$

$$(\varepsilon_k + 2 U_k) s_k^2 - 2 U_k c_k s_k + \frac{1}{2} \varepsilon_k + U_k$$

$$\begin{aligned}
&= (\varepsilon_k + 2 U_k) \frac{1}{2} \left(\frac{\varepsilon_k + 2 U_k}{E_k} - 1 \right) - 2 \frac{U_k^2}{E_k} + \frac{1}{2} \varepsilon_k + U_k \\
&= \frac{(\varepsilon_k + 2 U_k)^2 - 4 U_k^2}{2 E_k} \\
&= \frac{1}{2} E_k
\end{aligned}$$

$$\begin{aligned}
\therefore \mathcal{H}_{\text{free}}(\mathbf{k}) &= [(\varepsilon_k + 2 U_k) (c_k^2 + s_k^2) - 4 U_k c_k s_k] \zeta_k^+ \zeta_k \\
&\quad + [(\varepsilon_k + 2 U_k) s_k^2 - 2 U_k c_k s_k + \frac{1}{2} \varepsilon_k + U_k] \delta(0) \\
&= E_k \left[\zeta_k^+ \zeta_k + \frac{1}{2} \delta(0) \right] \\
H_{\text{free}} &= \int d^3 k \mathcal{H}_{\text{free}}(\mathbf{k}) = \int d^3 k E_k \left[\zeta_k^+ \zeta_k + \frac{1}{2} \delta(0) \right]
\end{aligned}$$

Ground state is the Fock vacuum of ζ_k :

$$\zeta_k | 0 \rangle\rangle = 0$$

Superfluid Mode

$$\begin{aligned}
\varepsilon_k &= \frac{\hbar^2 \mathbf{k}^2}{2m} & U_k &= \frac{1}{2} g v^2 \\
\rightarrow E_k &= \sqrt{\varepsilon_k (\varepsilon_k + 4 U_k)} = \sqrt{\frac{\hbar^2 \mathbf{k}^2}{2m} \left(\frac{\hbar^2 \mathbf{k}^2}{2m} + 2 g v^2 \right)} \\
&= \hbar |\mathbf{k}| \sqrt{\frac{\hbar^2 \mathbf{k}^2}{4m^2} + \frac{g v^2}{m}} \\
&\simeq \hbar |\mathbf{k}| v \sqrt{\frac{g}{m}} \quad \text{for small } |\mathbf{k}| \\
&\propto |\mathbf{k}| \quad \left(\text{superfluid mode with velocity } v \sqrt{\frac{g}{m}} \right)
\end{aligned}$$

Vacua

$$\eta_k | 0 \rangle = 0 \quad \zeta_k | 0 \rangle\rangle = 0$$

Let

$$\zeta_k = e^{-iG} \eta_k e^{iG}$$

$$\text{with } G^+ = G \quad \rightarrow \quad \zeta_k^+ = e^{-iG} \eta_k^+ e^{iG}$$

$$\zeta_k | 0 \rangle\rangle = 0$$

$$\rightarrow e^{-iG} \eta_k e^{iG} | 0 \rangle\rangle = 0$$

$$\eta_k e^{iG} | 0 \rangle\rangle = 0$$

$$\rightarrow e^{iG} | 0 \rangle\rangle \propto | 0 \rangle$$

$$\langle 0 | 0 \rangle = \langle\langle 0 | 0 \rangle\rangle = 1$$

$$\begin{aligned} \rightarrow e^{iG} | 0 \rangle &= | 0 \rangle \\ | 0 \rangle &= e^{-iG} | 0 \rangle \end{aligned}$$

From BogoliubovTransformation.pdf, we have

$$G = \frac{1}{2} i \int d^3 k \theta_k (\eta_k \eta_{-k} - \eta_k^\dagger \eta_{-k}^\dagger)$$

Particle & Phase Version

Let (c.f. §1.7):

$$\begin{aligned} \phi(x) &= e^{i\Theta(x)} \sqrt{\rho(x)} & \rightarrow & \phi^+(x) = \sqrt{\rho(x)} e^{-i\Theta(x)} \\ \text{where } \rho^+(x) &= \rho(x) & \& \Theta^+(x) = \Theta(x) \\ \therefore \phi^+(x) \phi(x) &= \rho(x) & \phi(x) \phi^+(x) &= e^{i\Theta(x)} \rho(x) e^{-i\Theta(x)} \\ [\phi(t, \mathbf{r}), \phi^+(t, \mathbf{r}')] &= \delta(\mathbf{r} - \mathbf{r}') \\ \rightarrow [\phi(x), \phi^+(x)] &= \delta(\mathbf{0}) \\ &= e^{i\Theta(x)} \rho(x) e^{-i\Theta(x)} - \rho(x) \\ &= [e^{i\Theta(x)}, \rho(x)] e^{-i\Theta(x)} \\ \therefore [e^{i\Theta(x)}, \rho(x)] &= e^{i\Theta(x)} \delta(\mathbf{0}) \\ [e^{i\Theta(x)}, \rho(x')]_{t=t'} &= e^{i\Theta(x)} \delta(\mathbf{r} - \mathbf{r}') \end{aligned}$$

To lowest order in Θ on both sides,

$$\begin{aligned} [i\Theta(x), \rho(x')]_{t=t'} &= \delta(\mathbf{r} - \mathbf{r}') \\ [\Theta(x), \rho(x')]_{t=t'} &= -i \delta(\mathbf{r} - \mathbf{r}') \end{aligned}$$

$$\text{Let } \rho(x) = v^2 [1 + \sigma(x)] \quad \theta(x) = 2 \Theta(x)$$

$$\begin{aligned} \rightarrow \phi^+(x) \phi(x) &= v^2 [1 + \sigma(x)] \\ \phi(x) &= e^{i\theta(x)/2} v \sqrt{1 + \sigma(x)} \\ &= v \left(1 + \frac{1}{2} [\sigma(x) + i\theta(x)] \right) \quad (\text{lowest order in } \sigma, \theta) \\ \phi(x) &= v + \eta(x) \\ \rightarrow \eta(x) &\approx \frac{1}{2} v [\sigma(x) + i\theta(x)] \\ \eta^+(x) &\approx \frac{1}{2} v [\sigma(x) - i\theta(x)] \end{aligned}$$

Conversely,

$$\begin{aligned} \sigma(x) &= \frac{1}{v} [\eta^+(x) + \eta(x)] \\ \theta(x) &= i \frac{1}{v} [\eta^+(x) - \eta(x)] \\ [\Theta(x), \rho(x')]_{t=t'} &= -i \delta(\mathbf{r} - \mathbf{r}') \\ \rightarrow -i \delta(\mathbf{r} - \mathbf{r}') &= \left[\frac{\theta(x)}{2}, v^2 [1 + \sigma(x')] \right]_{t=t'} \\ &= \frac{v^2}{2} [\theta(x), \sigma(x')]_{t=t'} \end{aligned}$$

or $[\sigma(x), \theta(x')]_{t=t'} = \frac{2i}{v^2} \delta(\mathbf{r} - \mathbf{r}')$

$$\eta(x) = \int \frac{d^3 k}{(2\pi)^{3/2}} \eta_{\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{x}} = \int \frac{d^3 k}{(2\pi)^{3/2}} \eta_{\mathbf{k}}(t) e^{i\mathbf{k}\cdot\mathbf{r}}$$

where $\mathbf{k}\cdot\mathbf{x} = \omega_{\mathbf{k}} t - \mathbf{k}\cdot\mathbf{r}$ $\omega_{\mathbf{k}} = \frac{\epsilon_{\mathbf{k}}}{\hbar} = \frac{\hbar \mathbf{k}^2}{2m}$

and $\eta_{\mathbf{k}}(t) = \eta_{\mathbf{k}} e^{-i\omega_{\mathbf{k}} t}$ (interaction picture)

$$\rightarrow \eta^+(x) = \int \frac{d^3 k}{(2\pi)^{3/2}} \eta_{\mathbf{k}}^+ e^{i\mathbf{k}\cdot\mathbf{x}} = \int \frac{d^3 k}{(2\pi)^{3/2}} \eta_{\mathbf{k}}^+(t) e^{-i\mathbf{k}\cdot\mathbf{r}}$$

$$\eta_{\mathbf{k}}^+(t) = \eta_{\mathbf{k}}^+ e^{i\omega_{\mathbf{k}} t}$$

$$\sigma(x) = \int \frac{d^3 k}{(2\pi)^{3/2}} \sigma_{\mathbf{k}}(t) e^{i\mathbf{k}\cdot\mathbf{r}}$$

$$\sigma^+(x) = \int \frac{d^3 k}{(2\pi)^{3/2}} \sigma_{\mathbf{k}}^+(t) e^{-i\mathbf{k}\cdot\mathbf{r}} = \int \frac{d^3 k}{(2\pi)^{3/2}} \sigma_{-\mathbf{k}}^+(t) e^{i\mathbf{k}\cdot\mathbf{r}}$$

$$\sigma^+(x) = \sigma(x) \quad \rightarrow \quad \sigma_{\mathbf{k}}(t) = \sigma_{-\mathbf{k}}^+(t)$$

$$[\sigma(x), \sigma^+(x')]_{t=t'} = [\sigma(x), \sigma(x')]_{t=t'} = [\sigma^+(x), \sigma^+(x')]_{t=t'} = 0$$

$$\rightarrow [\sigma_{\mathbf{k}}(t), \sigma_{\mathbf{k}'}^+(t)] = [\sigma_{\mathbf{k}}(t), \sigma_{\mathbf{k}'}^+(t)] = [\sigma_{\mathbf{k}}^+(t), \sigma_{\mathbf{k}'}^+(t)] = 0$$

Similarly,

$$\theta(x) = \int \frac{d^3 k}{(2\pi)^{3/2}} \theta_{\mathbf{k}}(t) e^{i\mathbf{k}\cdot\mathbf{r}}$$

with $\theta_{\mathbf{k}}(t) = \theta_{-\mathbf{k}}^+(t)$

and $[\theta_{\mathbf{k}}(t), \theta_{\mathbf{k}'}^+(t)] = [\theta_{\mathbf{k}}(t), \theta_{\mathbf{k}'}^+(t)] = [\theta_{\mathbf{k}}^+(t), \theta_{\mathbf{k}'}^+(t)] = 0.$

$$[\sigma(x), \theta(x')]_{t=t'} = \frac{2i}{v^2} \delta(\mathbf{r} - \mathbf{r}')$$

$$\rightarrow \int \frac{d^3 k}{(2\pi)^{3/2}} \int \frac{d^3 k'}{(2\pi)^{3/2}} e^{i\mathbf{k}\cdot\mathbf{r} + i\mathbf{k}'\cdot\mathbf{r}'} [\sigma_{\mathbf{k}}(t), \theta_{\mathbf{k}'}(t)] = \frac{2i}{v^2} \int \frac{d^3 k}{(2\pi)^3} e^{i\mathbf{k}\cdot(\mathbf{r}-\mathbf{r}')} [\sigma_{\mathbf{k}}(t), \theta_{-\mathbf{k}}^+(t)]$$

$$\therefore [\sigma_{\mathbf{k}}(t), \theta_{\mathbf{k}'}(t)] = \frac{2i}{v^2} \delta(\mathbf{k} + \mathbf{k}')$$

$$\eta(x) \simeq \frac{1}{2} v [\sigma(x) + i\theta(x)]$$

$$\rightarrow \eta_{\mathbf{k}}(t) \simeq \frac{1}{2} v [\sigma_{\mathbf{k}}(t) + i\theta_{\mathbf{k}}(t)]$$

$$\eta_{\mathbf{k}}^+(t) \simeq \frac{1}{2} v [\sigma_{\mathbf{k}}^+(t) - i\theta_{\mathbf{k}}^+(t)] = \frac{1}{2} v [\sigma_{-\mathbf{k}}(t) - i\theta_{-\mathbf{k}}(t)]$$

Thus,

$$[\eta_{\mathbf{k}}(t), \eta_{\mathbf{k}}^+(t)] = [\eta_{\mathbf{k}}, \eta_{\mathbf{k}}^+] = \frac{v^2}{4} [\sigma_{\mathbf{k}}(t) + i\theta_{\mathbf{k}}(t), \sigma_{\mathbf{k}}^+(t) - i\theta_{\mathbf{k}}^+(t)]$$

$$= \frac{v^2}{4} \{ [\sigma_{\mathbf{k}}(t), -i\theta_{\mathbf{k}}^+(t)] + [i\theta_{\mathbf{k}}(t), \sigma_{\mathbf{k}}^+(t)] \}$$

$$= \frac{v^2}{4} \{ [\sigma_{\mathbf{k}}(t), \theta_{-\mathbf{k}}(t)] - [\theta_{\mathbf{k}}(t), \sigma_{-\mathbf{k}}(t)] \}$$

$$\begin{aligned}
&= \frac{v^2}{4} (-i) \frac{2i}{v^2} \{ \delta(0) + \delta(0) \} \\
&= \delta(0)
\end{aligned}$$

as expected.

Note:

Since $\xi_k^+(t) \xi_k(t) = \xi_{-k}(t) \xi_k(t) = \xi_k^+ \xi_k = \xi_k \xi_k^+ = \dots$ for $\xi = \sigma, \theta$,

we can drop the time dependence in the following calculations for $\mathcal{H}_{\text{free}}(\mathbf{k})$.

$$\begin{aligned}
\eta_k^+ \eta_k &= \frac{v^2}{4} (\sigma_k^+ - i \theta_k^+) (\sigma_k + i \theta_k) \\
&= \frac{v^2}{4} [\sigma_k^+ \sigma_k + \theta_k^+ \theta_k + i (\sigma_k^+ \theta_k - \theta_k^+ \sigma_k)] \\
&= \frac{v^2}{4} \left[\sigma_k^+ \sigma_k + \theta_k^+ \theta_k - \frac{2}{v^2} \delta(0) \right] \\
&= \frac{v^2}{4} (\sigma_k^+ \sigma_k + \theta_k^+ \theta_k) - \frac{1}{2} \delta(0)
\end{aligned}$$

$$\begin{aligned}
\eta_k \eta_{-k} &= \frac{v^2}{4} (\sigma_k + i \theta_k) (\sigma_{-k} + i \theta_{-k}) \\
&= \frac{v^2}{4} [\sigma_k^+ \sigma_k - \theta_k^+ \theta_k + i (\sigma_k \theta_{-k} + \theta_k \sigma_{-k})]
\end{aligned}$$

$$\begin{aligned}
\eta_k^+ \eta_{-k}^+ &= \frac{v^2}{4} (\sigma_k - i \theta_k) (\sigma_{-k} - i \theta_{-k}) \\
&= \frac{v^2}{4} [\sigma_k^+ \sigma_k - \theta_k^+ \theta_k - i (\sigma_k \theta_{-k} + \theta_k \sigma_{-k})]
\end{aligned}$$

$$\begin{aligned}
\therefore \mathcal{H}_{\text{free}}(\mathbf{k}) &= (\varepsilon_k + 2 U_k) \eta_k^+ \eta_k + U_k (\eta_k \eta_{-k} + \eta_k^+ \eta_{-k}^+) + \left(\frac{1}{2} \varepsilon_k + U_k \right) \delta(0) \\
&= \frac{v^2}{4} [(\varepsilon_k + 2 U_k) (\sigma_k^+ \sigma_k + \theta_k^+ \theta_k) + 2 U_k (\sigma_k^+ \sigma_k - \theta_k^+ \theta_k)] \\
&= \frac{v^2}{4} [(\varepsilon_k + 4 U_k) \sigma_k^+ \sigma_k + \varepsilon_k \theta_k^+ \theta_k] \\
&= A_k \sigma_k^+ \sigma_k + B_k \theta_k^+ \theta_k
\end{aligned}$$

where

$$\begin{aligned}
B_k &= \frac{v^2}{4} \varepsilon_k \\
A_k &= \frac{v^2}{4} (\varepsilon_k + 4 U_k)
\end{aligned}$$

$$\text{Using } \varepsilon_k = \frac{\hbar^2 \mathbf{k}^2}{2m} \quad E_k = \sqrt{\varepsilon_k (\varepsilon_k + 4 U_k)}$$

we have

$$\begin{aligned}
B_k &= v^2 \frac{\hbar^2 \mathbf{k}^2}{8m} \\
A_k &= \frac{v^2}{4} \frac{E_k^2}{\varepsilon_k} = \frac{v^2}{2} \frac{m E_k^2}{\hbar^2 \mathbf{k}^2}
\end{aligned}$$

Setting $\frac{1}{M_k} = v^2 \frac{\hbar^2 k^2}{4m}$, we have

$$B_k = \frac{1}{2M_k}$$

$$A_k = \frac{v^4}{8} M_k E_k^2$$

Despite the appearance,

$$\begin{aligned} \mathcal{H}_{\text{free}}(\mathbf{k}) &= A_k \sigma_k^+ \sigma_k + B_k \theta_k^+ \theta_k \\ &= \frac{1}{2M_k} \theta_k^+ \theta_k + \frac{v^4}{8} M_k E_k^2 \sigma_k^+ \sigma_k \end{aligned}$$

is not diagonal since $[\sigma_k, \theta_k] \neq 0$.

Setting

$$\begin{aligned} \chi_k &= \sqrt{A_k} \sigma_k + i \sqrt{B_k} \theta_k & \chi_k^+ &= \sqrt{A_k} \sigma_k^+ - i \sqrt{B_k} \theta_k^+ \\ \rightarrow \chi_k^+ \chi_k &= A_k \sigma_k^+ \sigma_k + B_k \theta_k^+ \theta_k + i \sqrt{A_k B_k} (\sigma_k^+ \theta_k - \theta_k^+ \sigma_k) \\ \chi_k \chi_k^+ &= A_k \sigma_k \sigma_k^+ + B_k \theta_k \theta_k^+ + i \sqrt{A_k B_k} (-\sigma_k \theta_k^+ + \theta_k \sigma_k^+) \\ [\sigma_k(t), \theta_{k'}(t)] &= \frac{2i}{v^2} \delta(\mathbf{k} + \mathbf{k}') \\ \rightarrow [\chi_k, \chi_{k'}^+] &= 2i \sqrt{A_k B_k} ([\theta_k, \sigma_{k'}^+] + [\theta_{k'}^+, \sigma_k]) \\ &= \frac{4}{v^2} \sqrt{A_k B_k} \delta(0) = \frac{4}{v^2} \sqrt{\frac{v^4 E_k^2}{16}} \delta(0) = E_k \delta(0) \\ \chi_k^+ \chi_k + \chi_k \chi_k^+ &= 2 \mathcal{H}_{\text{free}}(\mathbf{k}) + f_k \end{aligned}$$

where $f_k = i \sqrt{A_k B_k} (-\sigma_k \theta_{-k} + \theta_k \sigma_{-k} + \sigma_{-k} \theta_k - \theta_{-k} \sigma_k)$

Since $f_{-k} = -f_k$, it doesn't contribute to

$$H_{\text{free}} = \int d^3 k \mathcal{H}_{\text{free}}(\mathbf{k})$$

Dropping f_k , we have

$$\begin{aligned} \mathcal{H}_{\text{free}}(\mathbf{k}) &= \frac{1}{2} (\chi_k^+ \chi_k + \chi_k \chi_k^+) \\ &= \chi_k^+ \chi_k + \frac{1}{2} E_k \delta(0) \end{aligned}$$

Setting $\zeta_k = \frac{1}{\sqrt{E_k}} \chi_k$

we have $[\zeta_k, \zeta_{k'}^+] = \delta(\mathbf{k} - \mathbf{k}')$

and $\mathcal{H}_{\text{free}}(\mathbf{k}) = E_k \left[\zeta_k^+ \zeta_k + \frac{1}{2} \delta(0) \right]$ (diagonal)

Finally,

$$B_k = \frac{1}{2M_k} \quad A_k = \frac{v^4}{8} M_k E_k^2$$

$$\begin{aligned}
\rightarrow \quad \zeta_k &= \frac{1}{\sqrt{E_k}} \chi_k = \sqrt{\frac{A_k}{E_k}} \sigma_k + i \sqrt{\frac{B_k}{E_k}} \theta_k \\
&= \frac{v^2}{2} \sqrt{\frac{M_k E_k}{2}} \sigma_k + \frac{i}{\sqrt{2 M_k E_k}} \theta_k \\
&= \frac{v}{2} \left(\sqrt{G_k} \sigma_k + \frac{i}{\sqrt{G_k}} \theta_k \right) \quad \text{where} \quad G_k = \frac{1}{2} v^2 M_k E_k
\end{aligned}$$

Squeezed State

See Summary section of 1.6._SqueezedCoherentState.pdf .

Comparing

$$\begin{aligned}
\mathcal{H}_{\text{free}}(\mathbf{k}) &= \frac{1}{2 M_k} \theta_k^+ \theta_k + \frac{v^4}{8} M_k E_k^2 \sigma_k^+ \sigma_k \\
&= \frac{1}{2} E_k (\zeta_k^+ \zeta_k + \zeta_k \zeta_k^+)
\end{aligned}$$

$$[\sigma_k(t), \theta_{-k}(t)] = \frac{2i}{v^2} \delta(0) = \frac{2i}{v^2} \frac{V}{(2\pi)^3}$$

with the standard quadratic Hamiltonian

$$H = \frac{1}{2M} p^2 + \frac{1}{2} M \omega^2 q^2 = \frac{1}{2} \hbar \omega (a^+ a + a a^+)$$

$$[q, p] = i \hbar \quad \text{and} \quad a = \frac{1}{\sqrt{2 M \hbar \omega}} (M \omega q + i p)$$

we have

$$\sigma_k = \sqrt{\frac{V}{(2\pi)^3}} \frac{2}{v^2 \hbar} q_k \quad \theta_k = \sqrt{\frac{V}{(2\pi)^3}} p_{-k}$$

so that

$$[q_k, p_k] = i \hbar$$

$$\mathcal{H}_{\text{free}}(\mathbf{k}) = \frac{V}{(2\pi)^3} \left(\frac{1}{2 M_k} p_k p_{-k} + \frac{1}{2} M_k \omega_k^2 q_k q_{-k} \right)$$

where $E_k = \hbar \omega_k$

Also,

$$\begin{aligned}
\zeta_k &= \frac{v^2}{2} \sqrt{\frac{M_k E_k}{2}} \sigma_k + \frac{i}{\sqrt{2 M_k E_k}} \theta_k \\
&= \sqrt{\frac{V}{(2\pi)^3}} \frac{1}{\sqrt{2 M_k \hbar \omega_k}} (M_k \omega_k q_k + i p_{-k}) \\
&= \sqrt{\frac{V}{(2\pi)^3}} a_k
\end{aligned}$$

$$\rightarrow \mathcal{H}_{\text{free}}(\mathbf{k}) = \frac{V}{(2\pi)^3} \frac{1}{2} (a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + a_{\mathbf{k}} a_{\mathbf{k}}^\dagger)$$

According to 1.6._SqueezedCoherentState.pdf , one can make a Bogoliubov transformation to some squeezed state $|v\rangle$ such that

$$\langle\langle (\Delta q_{\mathbf{k}})^2 \rangle\rangle = \frac{(2\pi)^3}{V} \frac{\hbar}{2 M_{\mathbf{k}} \omega_{\mathbf{k}}} e^{-2\tau_{\mathbf{k}}}$$

$$\langle\langle (\Delta p_{\mathbf{k}})^2 \rangle\rangle = \frac{(2\pi)^3}{V} \frac{M_{\mathbf{k}} \hbar \omega_{\mathbf{k}}}{2} e^{2\tau_{\mathbf{k}}}$$

$$\langle\langle (\Delta q_{\mathbf{k}})^2 \rangle\rangle \langle\langle (\Delta p_{\mathbf{k}})^2 \rangle\rangle = \left(\frac{(2\pi)^3}{V} \right)^2 \frac{\hbar^2}{4}$$

With $\sigma_{\mathbf{k}} = \sqrt{\frac{V}{(2\pi)^3}} \frac{2}{v^2 \hbar} q_{\mathbf{k}}$ $\theta_{\mathbf{k}} = \sqrt{\frac{V}{(2\pi)^3}} p_{-\mathbf{k}}$

we have

$$\langle\langle (\Delta \sigma_{\mathbf{k}})^2 \rangle\rangle = \frac{2}{v^4 M_{\mathbf{k}} \hbar \omega_{\mathbf{k}}} e^{-2\tau_{\mathbf{k}}}$$

$$\langle\langle (\Delta p_{\mathbf{k}})^2 \rangle\rangle = \frac{M_{\mathbf{k}} \hbar \omega_{\mathbf{k}}}{2} e^{2\tau_{\mathbf{k}}}$$

$$\langle\langle (\Delta q_{\mathbf{k}})^2 \rangle\rangle \langle\langle (\Delta p_{\mathbf{k}})^2 \rangle\rangle = \frac{1}{v^4}$$