

## 11.E.2. Eigenfrequencies of the Lorentz-Boltzmann Equation

Using the Fourier transform [  $(\mathbf{r}, t) \rightarrow (\mathbf{k}, \omega_n)$  ]

$$h^-(\mathbf{r}, \mathbf{p}, t) = \int \frac{d\mathbf{k}}{(2\pi)^3} \int \frac{d\omega_n}{2\pi} e^{i(\mathbf{k}\cdot\mathbf{r} - \omega_n t)} | \Psi_{n\mathbf{k}}(\mathbf{p}) \rangle \quad (11.116)$$

on the Lorentz-Boltzmann equation (11.105) gives

$$\left( -i\omega_n + i\mathbf{k}\cdot\frac{\mathbf{p}}{m} \right) | \Psi_{n\mathbf{k}}(\mathbf{p}) \rangle = \hat{C}_p^- | \Psi_{n\mathbf{k}}(\mathbf{p}) \rangle \quad (11.117a)$$

where we have added a subscript  $\mathbf{p}$  to  $\hat{C}^-$  to emphasize the previously implicit assumption that it operates on the variable  $\mathbf{p}$ . We have also added a subscript  $n$  to  $\omega$  since we shall interpret (11.117a) as an eigen-equation (for each given  $\mathbf{k}$ )

$$\left( \hat{C}_p^- - i\mathbf{k}\cdot\frac{\mathbf{p}}{m} \right) | \Psi_{n\mathbf{k}}(\mathbf{p}) \rangle = -i\omega_n(\mathbf{k}) | \Psi_{n\mathbf{k}}(\mathbf{p}) \rangle \quad (11.117)$$

with eigenvalue  $-i\omega_n(\mathbf{k})$  for the eigenfunction  $| \Psi_{n\mathbf{k}}(\mathbf{p}) \rangle$ . As usual, we use  $n = 0, 1, 2, \dots$  to label the eigenvalues in ascending order of magnitude.

For hydrodynamic modes,  $k \rightarrow 0$  so that  $-i\mathbf{k}\cdot\frac{\mathbf{p}}{m}$  can be taken as a perturbation to the unperturbed operator  $\hat{C}_p^-$ .

Using  $k$  as the expansion parameter in the Rayleigh-Schrodinger perturbation theory, we set

$$\omega_n(\mathbf{k}) = \sum_{j=0}^{\infty} k^j \omega_n^{(j)} = \omega_n^{(0)} + k \omega_n^{(1)} + k^2 \omega_n^{(2)} + \dots \quad (11.118)$$

and

$$| \Psi_{n\mathbf{k}}(\mathbf{p}) \rangle = \sum_{j=0}^{\infty} k^j | \Psi_n^{(j)}(\mathbf{p}) \rangle = | \Psi_n^{(0)}(\mathbf{p}) \rangle + k | \Psi_n^{(1)}(\mathbf{p}) \rangle + k^2 | \Psi_n^{(2)}(\mathbf{p}) \rangle + \dots \quad (11.119)$$

where  $\omega_n^{(j)}$  &  $| \Psi_n^{(j)}(\mathbf{p}) \rangle$  are independent of  $k$  but can depend on the direction  $\hat{\mathbf{k}}$ .

Setting  $k = 0$  turns (11.117) into

$$\hat{C}_p^- | \Psi_n^{(0)}(\mathbf{p}) \rangle = -i\omega_n^{(0)} | \Psi_n^{(0)}(\mathbf{p}) \rangle$$

(11.119a)

so that  $| \Psi_n^{(0)}(\mathbf{p}) \rangle$  is the eigenfunction of  $\hat{C}_p^-$  for eigenvalue  $-i\omega_n^{(0)}$ . Since  $\hat{C}_p^-$  is Hermitian (self-adjoint) [see (11.99)], its eigenvalues must be real so that  $\omega_n^{(0)}$  is purely imaginary.

To find the states  $| \Psi_n^{(j)} \rangle$ , we put (11.118-9) into (11.117) to get

$$\begin{aligned} & \left( \hat{C}_p^- - i\mathbf{k}\cdot\frac{\mathbf{p}}{m} \right) [ | \Psi_n^{(0)} \rangle + k | \Psi_n^{(1)} \rangle + k^2 | \Psi_n^{(2)} \rangle + \dots ] \\ & = -i [ \omega_n^{(0)} + k \omega_n^{(1)} + k^2 \omega_n^{(2)} + \dots ] [ | \Psi_n^{(0)} \rangle + k | \Psi_n^{(1)} \rangle + k^2 | \Psi_n^{(2)} \rangle + \dots ] \end{aligned} \quad (11.119b)$$

where we have reverted to the implicit  $\mathbf{p}$  notations.

Equating the coefficients of the same power of  $k$  on both sides of the equation gives

$$\hat{C}_p^- | \Psi_n^{(0)} \rangle = -i\omega_n^{(0)} | \Psi_n^{(0)} \rangle \quad [ \text{same as (11.119a)} ]$$

Coefficients of  $k$  gives [  $\mathbf{k} = k \hat{\mathbf{k}}$  ]

$$-i\hat{\mathbf{k}}\cdot\frac{\mathbf{p}}{m} | \Psi_n^{(0)} \rangle + \hat{C}_p^- | \Psi_n^{(1)} \rangle = -i [ \omega_n^{(0)} | \Psi_n^{(1)} \rangle + \omega_n^{(1)} | \Psi_n^{(0)} \rangle ]$$

$$\begin{aligned} \rightarrow \quad & \left[ \hat{C}^- + i\omega_n^{(0)} \right] | \Psi_n^{(1)} \rangle = i \left[ \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} - \omega_n^{(1)} \right] | \Psi_n^{(0)} \rangle \\ & | \Psi_n^{(1)} \rangle = i \frac{\hat{Q}_n}{\hat{C}^- + i\omega_n^{(0)}} \left[ \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} - \omega_n^{(1)} \right] | \Psi_n^{(0)} \rangle \end{aligned} \quad (11.119c)$$

where  $\hat{Q}_n = 1 - \hat{P}_n$  is the exclusion operator that excludes the state  $| \Psi_n^{(0)} \rangle$ . Its presence is necessary since

$$\frac{1}{\hat{C}^- + i\omega_n^{(0)}} | \Psi_n^{(0)} \rangle = \frac{1}{-i\omega_n^{(0)} + i\omega_n^{(0)}} | \Psi_n^{(0)} \rangle \rightarrow \infty$$

Since  $\hat{Q}_n | \Psi_n^{(0)} \rangle = 0$ , (11.119c) becomes

$$| \Psi_n^{(1)} \rangle = i \frac{\hat{Q}_n}{\hat{C}^- + i\omega_n^{(0)}} \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} | \Psi_n^{(0)} \rangle \quad (11.119d)$$

Owing to the presence of  $\hat{Q}_n$ , (11.119d) gives

$$\langle \Psi_n^{(0)} | \Psi_n^{(1)} \rangle = 0$$

Following Rayleigh-Schrodinger, we assume the orthogonality conditions

$$\langle \Psi_n^{(i)} | \Psi_n^{(j)} \rangle = 0 \quad \text{if} \quad i \neq j \quad (11.119e)$$

$\langle \Psi_n^{(0)} |$  (11.119 b) then gives

$$\begin{aligned} & \langle \Psi_n^{(0)} | \left( \hat{C}^- - i \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right) [ | \Psi_n^{(0)} \rangle + k | \Psi_n^{(1)} \rangle + k^2 | \Psi_n^{(2)} \rangle + \dots ] \\ & = -i [ \omega_n^{(0)} + k \omega_n^{(1)} + k^2 \omega_n^{(2)} + \dots ] \end{aligned} \quad (11.119f)$$

where we have assumed

$$\langle \Psi_n^{(0)} | \Psi_n^{(0)} \rangle = 1$$

Equating the coefficients of the same power of  $k$  on both sides of the equation gives

$$\omega_n^{(0)} = i \langle \Psi_n^{(0)} | \hat{C}^- | \Psi_n^{(0)} \rangle \quad (11.120)$$

$$\omega_n^{(1)} = i \left[ \langle \Psi_n^{(0)} | \hat{C}^- | \Psi_n^{(1)} \rangle - i \left\langle \Psi_n^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_n^{(0)} \right\rangle \right] \quad (11.121a)$$

Since  $\hat{C}^-$  is Hermitian, we have

$$\begin{aligned} \langle \Psi_n^{(0)} | \hat{C}^- | \Psi_n^{(1)} \rangle &= \langle \hat{C}^- \Psi_n^{(0)} | \Psi_n^{(1)} \rangle \\ &= -i \omega_n^{(0)} \langle \Psi_n^{(0)} | \Psi_n^{(1)} \rangle && [ (11.119a) \text{ used. } ] \\ &= 0 && [ (11.119d) \text{ used. } ] \end{aligned}$$

Hence, (11.121a) reduces to

$$\omega_n^{(1)} = \left\langle \Psi_n^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_n^{(0)} \right\rangle \quad (11.121)$$

Similarly, coefficients of  $k^2$  gives

$$\omega_n^{(2)} = i \left[ \langle \Psi_n^{(0)} | \hat{C}^- | \Psi_n^{(2)} \rangle - i \left\langle \Psi_n^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_n^{(1)} \right\rangle \right]$$

$$\begin{aligned}
&= \left\langle \Psi_n^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_n^{(1)} \right\rangle \quad [ (11.119a \ \& \ d) \ \text{used.} ] \\
&= \left\langle \Psi_n^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \frac{i \hat{Q}_n}{\hat{C}^- + i \omega_n^{(0)}} \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_n^{(0)} \right\rangle \quad (11.122a)
\end{aligned}$$

$$\begin{aligned}
&= \sum_i \sum_{j \neq n} \left\langle \Psi_n^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_i^{(0)} \right\rangle \left\langle \Psi_i^{(0)} \left| \frac{1}{-\omega_j^{(0)} + \omega_n^{(0)}} \right| \Psi_j^{(0)} \right\rangle \left\langle \Psi_j^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_n^{(0)} \right\rangle \\
&= \sum_i \sum_{j \neq n} \left\langle \Psi_n^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_i^{(0)} \right\rangle \frac{\delta_{ij}}{-\omega_j^{(0)} + \omega_n^{(0)}} \left\langle \Psi_j^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_n^{(0)} \right\rangle \\
&= \sum_{j \neq n} \left\langle \Psi_n^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_j^{(0)} \right\rangle \frac{1}{-\omega_j^{(0)} + \omega_n^{(0)}} \left\langle \Psi_j^{(0)} \left| \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \right| \Psi_n^{(0)} \right\rangle \quad (11.122b)
\end{aligned}$$

Another way to express (11.122a) is

$$\omega_n^{(2)} = \left\langle \Psi_n^{(0)} \left| \left( \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} - \omega_n^{(1)} \right) \frac{i}{\hat{C}^- + i \omega_n^{(0)}} \left( \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} - \omega_n^{(1)} \right) \right| \Psi_n^{(0)} \right\rangle \quad (11.122)$$

$$= \sum_j \left\langle \Psi_n^{(0)} \left| \left( \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} - \omega_n^{(1)} \right) \right| \Psi_j^{(0)} \right\rangle \frac{1}{-\omega_j^{(0)} + \omega_n^{(0)}} \left\langle \Psi_j^{(0)} \left| \left( \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} - \omega_n^{(1)} \right) \right| \Psi_n^{(0)} \right\rangle \quad (11.122c)$$

The proof is as follows. Since  $|\Psi_n^{(0)}\rangle$  are the eigenstates of a Hermitian (or self-adjoint) operator  $\hat{C}^-$  [ see (11.119a) ], we can assume

$$\langle \Psi_j^{(0)} | \Psi_n^{(0)} \rangle = \delta_{jn}$$

Thus, for  $j \neq n$ ,

$$\langle \Psi_j^{(0)} | \omega_n^{(1)} | \Psi_n^{(0)} \rangle = \omega_n^{(1)} \langle \Psi_j^{(0)} | \Psi_n^{(0)} \rangle = 0 = \langle \Psi_n^{(0)} | \omega_n^{(1)} | \Psi_j^{(0)} \rangle$$

so that (11.122c) is the same as (11.122b). The  $j = n$  term is effectively excluded in (11.122c) since it evaluates to

$$(\omega_n^{(1)} - \omega_n^{(1)}) \frac{1}{-\omega_n^{(0)} + \omega_n^{(0)}} (\omega_n^{(1)} - \omega_n^{(1)}) = 0$$

QED.

Consider now the  $n = 0$  case. As discussed in §11.D.2,

$$\begin{aligned}
\omega_0^{(0)} &= 0 \quad [ \text{see (11.106a)} ] \\
\langle \mathbf{p} | \Psi_0^{(0)} \rangle &= \Psi_0^{(0)}(\mathbf{p}) = A = \text{const}
\end{aligned} \quad (11.124)$$

Setting

$$\langle \Psi_0^{(0)} | \Psi_0^{(0)} \rangle = 1 \quad \rightarrow \quad \left( \frac{\beta}{2\pi m} \right)^{3/2} \int d\mathbf{p} e^{-\beta p^2/2m} A^2 = 1 \quad \rightarrow \quad A = 1$$

(11.121) then gives

$$\omega_0^{(1)} = \left( \frac{\beta}{2\pi m} \right)^{3/2} \int d\mathbf{p} e^{-\beta p^2/2m} \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} = 0 \quad (11.125)$$

Similarly, (11.122) gives

$$\omega_n^{(2)} = \left( \frac{\beta}{2\pi m} \right)^{3/2} \int d\mathbf{p} e^{-\beta p^2/2m} \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \frac{i}{\hat{C}^-} \hat{\mathbf{k}} \cdot \frac{\mathbf{p}}{m} \quad (11.126)$$

To  $O(k^2)$ , (11.118) thus gives

$$\omega_0(\mathbf{k}) = ik^2 \frac{1}{m^2} \left( \frac{\beta}{2\pi m} \right)^{3/2} \int d\mathbf{p} e^{-\beta p^2/2m} \hat{\mathbf{k}} \cdot \mathbf{p} \frac{1}{\hat{\mathbf{C}}^-} \hat{\mathbf{k}} \cdot \mathbf{p} \quad (11.126a)$$

where  $\hat{Q}_0$  is omitted since it excludes only constant terms.

Comparing with (11.114), we have

$$D \approx \frac{i}{k^2} \omega_0(\mathbf{k}) = -\frac{1}{m^2} \left( \frac{\beta}{2\pi m} \right)^{3/2} \int d\mathbf{p} e^{-\beta p^2/2m} \hat{\mathbf{k}} \cdot \mathbf{p} \frac{1}{\hat{\mathbf{C}}^-} \hat{\mathbf{k}} \cdot \mathbf{p} \quad (11.127)$$