

## B.2. Symmetrized N-Particle Position And Momentum Eigenstates

Consider now the Hamiltonian for  $N$  identical particles

$$\hat{H}_N = \sum_{i=1}^N h(\hat{\mathbf{p}}_i, \hat{r}_i) + \sum_{i < j=1}^{N(N-1)/2} \mathcal{V}(\hat{r}_i, \hat{r}_j) \quad \hat{r} = (\hat{\mathbf{q}}, \hat{s}_z) \quad (\text{B.1})$$

where

$$h(\hat{\mathbf{p}}_i, \hat{r}_i) = \frac{\hat{\mathbf{p}}_i^2}{2m} + v(\hat{r}_i) \quad (\text{B.1a})$$

is a 1-body Hamiltonian.

Let

$$|r\rangle \equiv |r, s_z\rangle = |r\rangle \otimes |s_z\rangle \quad (\text{B.15a})$$

be the simultaneous eigenstate of the position  $\hat{\mathbf{q}}$  & z-component of spin  $\hat{s}_z$  operators, where  $\otimes$  is the direct product. Similarly,

$$|k\rangle \equiv |k, s_z\rangle = |k\rangle \otimes |s_z\rangle \quad (\text{B.15b})$$

is an eigenstate of the 1-body Hamiltonian with  $k$  denoting its complete set of quantum numbers. For example, if  $\hat{h}$  is the free particle Hamiltonian, then  $k$  is simply the wave vector. If  $\hat{h}$  is the Hamiltonian for an electron in an atom, then  $k = \{n, l, m\}$ .

Since  $\{|r\rangle\}$  is an orthonormal and complete set of states that form a basis for a 1-particle Hilbert space, we can use the set of direct products

$$|r_1, r_2, \dots, r_N\rangle \equiv |r_1\rangle \otimes |r_2\rangle \otimes \dots \otimes |r_N\rangle \quad (\text{B.15})$$

as a basis for a  $N$ -particle Hilbert space. Quantities expressed in this basis are called the r-representation. Similarly, for the k-representation, the basis is the set

$$|k_1, k_2, \dots, k_N\rangle \equiv |k_1\rangle \otimes |k_2\rangle \otimes \dots \otimes |k_N\rangle \quad (\text{B.16})$$

It is easily proved that the orthonormality & completeness of the 1-particle basis are directly translated into those for the  $N$ -particle basis.

With respect to the basis (B.15), we have

$$\begin{aligned} H(r_1, \dots, r_N) &\equiv \langle r_1, r_2, \dots, r_N | \hat{H}_N | r_1, r_2, \dots, r_N \rangle \\ &= \sum_{i=1}^N h\left(\frac{\hbar}{i} \nabla_{r_i}, r_i\right) + \sum_{i < j=1}^{N(N-1)/2} \mathcal{V}(r_i, r_j) \end{aligned} \quad (\text{B.17})$$

**Reminder:** We use  $\hat{\cdot}$  to denote an operator on the bras  $\langle \dots |$  & kets  $| \dots \rangle$ .

The gradient  $\nabla_{r_i}$  is not an operator in this sense.

Let  $\hat{P}_{ij}$  be the operator that permute (or exchange) particles  $i$  and  $j$ . Since the particles are identical,  $\hat{H}_N$  must be invariant under  $\hat{P}_{ij}$ .

$$\hat{P}_{ij} \hat{H}_N \hat{P}_{ij}^{-1} = \hat{H}_N \quad \rightarrow \quad \hat{P}_{ij} \hat{H}_N = \hat{H}_N \hat{P}_{ij} \quad \forall i, j = 1, \dots, N \quad (\text{B.17a})$$

i.e.,  $\hat{P}_{ij}$  &  $\hat{H}_N$  commute.

Let  $|E\rangle$  be an eigenstate of  $\hat{H}_N$  so that

$$\hat{H}_N |E\rangle = E |E\rangle \quad (\text{B.17b})$$

Then  $\hat{P}_{ij}$  (B.17 b) gives

$$\begin{aligned}\hat{P}_{ij} \hat{H}_N | E \rangle &= E \hat{P}_{ij} | E \rangle \\ &= \hat{H}_N \hat{P}_{ij} | E \rangle\end{aligned}\quad [ \text{(B.17a) used.} ]$$

which means  $\hat{P}_{ij} | E \rangle$  is also an eigenstate of  $\hat{H}_N$  with the same eigenvalue  $E$ . Similarly, let  $|\alpha\rangle$  be an eigenstate of  $\hat{P}_{ij}$  so that

$$\hat{P}_{ij} |\alpha\rangle = \alpha |\alpha\rangle \quad (\text{B.17c})$$

then  $\hat{H}_N |\alpha\rangle$  is also an eigenstate of  $\hat{P}_{ij}$  with the same eigenvalue  $\alpha$ . Note that  $\alpha$  is independent of  $i$  &  $j$  since the exchange operation should work the same way irregardless of the labelling.

Thus  $\hat{P}_{ij}$  &  $\hat{H}_N$  share the same eigenstates, which can be designated as  $|E, \alpha\rangle$ .

Now, exchanging the same pair of particles twice simply restores the original particle assignments, i.e.,

$$\hat{P}_{ij} \hat{P}_{ij} = \hat{1} \quad (\text{B.17d})$$

Therefore, (B.17c) gives

$$\hat{P}_{ij} \hat{P}_{ij} |\alpha\rangle = \alpha^2 |\alpha\rangle = |\alpha\rangle \quad [ \text{(B.17d) used.} ]$$

$$\rightarrow \alpha = \pm 1 \quad (\text{B.17e})$$

Hence, the eigenstates of  $\hat{H}_N$  must be either symmetric ( $\alpha = 1$ ) or anti-symmetric ( $\alpha = -1$ ) under  $\hat{P}_{ij} \forall i, j$ . Identical particles whose eigenstates are symmetric (anti-symmetric) under particle exchanges are called **bosons** (**fermions**).

### B.2.1. Symmetrized Momentum Eigenstates for Bose-Einstein Particles

The particle exchange  $i \leftrightarrow j$  results in an exchange of the corresponding occupied states

$$\hat{P}_{ij} | \dots, k_i, \dots, k_j, \dots \rangle = | \dots, k_j, \dots, k_i, \dots \rangle \quad (\text{B.18})$$

By definition, a state  $\psi_N$  for  $N$  bosons must satisfy

$$\hat{P}_{ij} | \psi_N \rangle = | \psi_N \rangle \quad \forall i, j \quad (\text{B.18a})$$

Now, an arbitrary sequence of pair-wise exchanges among  $N$ -particles can be represented concisely by a single permutation  $\hat{\mathcal{P}}$ . Furthermore, while the number of possible sequences of pair-wise exchanges is infinite, the number of distinct permutations is finite. Therefore, the following discussions will be in terms of permutations. (B.18a) is therefore re-phrased as

$$\hat{\mathcal{P}} | \psi_N \rangle = | \psi_N \rangle \quad \forall \mathcal{P} \quad (\text{B.18b})$$

Since we can always expand  $|\psi_N\rangle$  as a linear combination of the basis  $|k_1, \dots, k_N\rangle$ , it suffices to consider only the latter.

While the number of distinct permutations of the particle labels is always  $N!$ , that of the resultant states depends on the number  $n_j$  of  $k$ 's that have the same value  $k_j$ . In general, the number of distinct permutations of  $|k_1, \dots, k_N\rangle$  is  $\frac{N!}{\prod_j n_j!}$ , where  $j$  runs over the distinct  $k$  values present.

For example,  $\{n_1, n_2, n_3\} = \{1, 1, 1\}$  gives  $\frac{N!}{\prod_j n_j!} = \frac{3!}{1! \cdot 1! \cdot 1!} = 6$  distinct terms so that

$$\sum_{\mathcal{P}} \hat{\mathcal{P}} |k_1 k_2 k_3\rangle = |k_1 k_2 k_3\rangle + |k_1 k_3 k_2\rangle + |k_3 k_2 k_1\rangle + |k_2 k_1 k_3\rangle + |k_2 k_3 k_1\rangle + |k_3 k_1 k_2\rangle \quad (\text{B.20})$$

and  $\{n_1, n_2\} = \{2, 1\}$  gives  $\frac{N!}{\prod_j n_j!} = \frac{3!}{2! \cdot 1!} = 3$  distinct terms so that

$$\sum_{\mathcal{P}} \hat{\mathcal{P}} |k_1 k_1 k_2\rangle = |k_1 k_1 k_2\rangle + |k_1 k_2 k_1\rangle + |k_2 k_1 k_1\rangle \quad (\text{B.20a})$$

Note that the subscript  $j$  in  $k_j$  merely denotes different values of  $k$ , and the  $n_j$ 's in the formula  $\frac{N!}{\prod_j n_j!}$  counts only those  $k_j$ 's listed in  $|k_1, \dots, k_N\rangle$ .

Orthonormality of the 1-particle states leads to that of the direct product states of (B.15):

$$\begin{aligned} \langle k_1, \dots, k_N | k_1', \dots, k_N' \rangle &= \langle k_1 | k_1' \rangle \dots \langle k_N | k_N' \rangle \\ &= \delta_{k_1 k_1'} \dots \delta_{k_N k_N'} \end{aligned} \quad (\text{B.21})$$

The condition (B.18b) can be satisfied if we expand  $|\psi_N\rangle$  in terms of the symmetrized basis

$$|k_1, \dots, k_N\rangle^{(S)} \equiv \sqrt{\frac{\prod_j n_j!}{N!}} \sum_{\mathcal{P}} \hat{\mathcal{P}} |k_1, \dots, k_N\rangle \quad (\text{B.22})$$

The orthonormality & completeness of this basis follows from those of the 1-particle states:

$${}^{(S)}\langle k_1, \dots, k_N | k_1', \dots, k_N' \rangle^{(S)} = \delta_{k_1 k_1'} \dots \delta_{k_N k_N'} \quad (\text{B.23})$$

$$\sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} |k_1, \dots, k_N\rangle^{(S)} {}^{(S)}\langle k_1, \dots, k_N | = \hat{1} \quad (\text{B.24})$$

where the factor  $\frac{\prod_j n_j!}{N!}$  is inserted to counteract the over-counting in the summations. This is necessary because any permutation among the indices in the summations will lead to the same symmetrized state.

For example,

$$\begin{aligned} \sum_{k_1=1}^3 \sum_{k_2=1}^3 \sum_{k_3=1}^3 |k_1 k_2 k_3\rangle &= \sum_{k_1=1}^3 \sum_{k_2=1}^3 \left( |k_1 k_2 1\rangle + |k_1 k_2 2\rangle + |k_1 k_2 3\rangle \right) \\ &= \sum_{k_1=1}^3 \left( |k_1 11\rangle + |k_1 21\rangle + |k_1 31\rangle + |k_1 12\rangle + |k_1 22\rangle + |k_1 32\rangle \right. \\ &\quad \left. + |k_1 13\rangle + |k_1 23\rangle + |k_1 33\rangle \right) \\ &= |111\rangle + |211\rangle + |311\rangle + |121\rangle + |221\rangle + |321\rangle \\ &\quad + |131\rangle + |231\rangle + |331\rangle + |112\rangle + |212\rangle + |312\rangle \\ &\quad + |122\rangle + |222\rangle + |322\rangle + |132\rangle + |232\rangle + |332\rangle \\ &\quad + |113\rangle + |213\rangle + |313\rangle + |123\rangle + |223\rangle + |323\rangle \end{aligned}$$

$$+ | 133 \rangle + | 233 \rangle + | 333 \rangle$$

Grouping states that lead to the same symmetrized state together, we have

$$\begin{aligned} \sum_{k_1=1}^3 \sum_{k_2=1}^3 \sum_{k_3=1}^3 | k_1 k_2 k_3 \rangle &= | 111 \rangle + | 222 \rangle + | 333 \rangle \\ &+ ( | 211 \rangle + | 121 \rangle + | 112 \rangle ) + ( | 311 \rangle + | 131 \rangle + | 113 \rangle ) \\ &+ ( | 221 \rangle + | 212 \rangle + | 122 \rangle ) + ( | 322 \rangle + | 232 \rangle + | 223 \rangle ) \\ &+ ( | 331 \rangle + | 313 \rangle + | 133 \rangle ) + ( | 332 \rangle + | 233 \rangle + | 323 \rangle ) \\ &+ ( | 321 \rangle + | 231 \rangle + | 312 \rangle + | 132 \rangle + | 213 \rangle + | 123 \rangle ) \end{aligned}$$

Hence, for all three  $k$ 's the same, there are 3 groups, each consisting of  $\frac{N!}{\prod_j n_j!} = \frac{3!}{3!} = 1$  state. For two

$k$ 's the same, there are 6 groups, each consisting of  $\frac{N!}{\prod_j n_j!} = \frac{3!}{2! \cdot 1!} = 3$  states. Finally, for all three  $k$ 's

different, there is only 1 group of  $\frac{N!}{\prod_j n_j!} = \frac{3!}{1! \cdot 1! \cdot 1!} = 6$  states. In total, there are

$$3 \times 1 + 6 \times 3 + 1 \times 6 = 27 \text{ states}$$

$$= 3 \times 3 \times 3 = \text{Numbers of terms in } \sum_{k_1=1}^3 \sum_{k_2=1}^3 \sum_{k_3=1}^3$$

However, there are only

$$3 + 6 + 1 = 10 \text{ independent symmetrized states}$$

which can be taken as

$$\begin{aligned} &| 111 \rangle^{(S)}, | 222 \rangle^{(S)}, | 333 \rangle^{(S)} \\ &| 112 \rangle^{(S)}, | 113 \rangle^{(S)}, | 122 \rangle^{(S)}, | 223 \rangle^{(S)}, | 133 \rangle^{(S)}, | 233 \rangle^{(S)} \\ &| 123 \rangle^{(S)} \end{aligned} \tag{B.24a}$$

### B.2.2. Antisymmetrized Momentum Eigenstates for Fermi-Dirac Particles

A state  $\phi_N$  for  $N$  fermions must satisfy [ c.f.(B.18b) ]

$$\hat{P} | \phi_N \rangle = (-)^{\mathcal{P}} | \phi_N \rangle \quad \forall \mathcal{P} \tag{B.25a}$$

where  $(-)^{\mathcal{P}}$  equals to  $+1$  ( $-1$ ) if  $\mathcal{P}$  is a product of an even (odd) number of 2-particle exchanges.

The condition (B.25a) can be satisfied if we expand  $| \phi_N \rangle$  in terms of the antisymmetrized basis

$$| k_1, \dots, k_N \rangle^{(A)} \equiv \frac{1}{\sqrt{N!}} \sum_{\mathcal{P}} (-)^{\mathcal{P}} \hat{P} | k_1, \dots, k_N \rangle \tag{B.25}$$

The orthonormality & completeness of this basis follows from those of the 1-particle states:

$$\langle k_1, \dots, k_N | k_1', \dots, k_N' \rangle^{(A)} = \delta_{k_1 k_1'} \dots \delta_{k_N k_N'} \tag{B.27}$$

$$\sum_{k_1, \dots, k_N} \frac{1}{N!} | k_1, \dots, k_N \rangle^{(A)} \langle k_1, \dots, k_N | = \hat{1} \tag{B.28}$$

where the factor  $\frac{1}{N!}$  is inserted to counteract the over-counting in the summations.

In the  $r$ -representation, (B.25) becomes

$$\begin{aligned}
\phi_N(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) &= \left\langle \mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N \mid k_1, \dots, k_N \right\rangle^{(A)} \\
&= \frac{1}{\sqrt{N!}} \sum_{\mathcal{P}} (-)^{\mathcal{P}} \left\langle \mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N \mid \hat{\mathcal{P}} \mid k_1, \dots, k_N \right\rangle \\
&= \frac{1}{\sqrt{N!}} \det \begin{vmatrix} \langle \mathbf{r}_1 \mid k_1 \rangle & \langle \mathbf{r}_1 \mid k_2 \rangle & \cdots & \langle \mathbf{r}_1 \mid k_N \rangle \\ \langle \mathbf{r}_2 \mid k_1 \rangle & \langle \mathbf{r}_2 \mid k_2 \rangle & \cdots & \langle \mathbf{r}_2 \mid k_N \rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle \mathbf{r}_N \mid k_1 \rangle & \langle \mathbf{r}_N \mid k_2 \rangle & \cdots & \langle \mathbf{r}_N \mid k_N \rangle \end{vmatrix} \\
&= \frac{1}{\sqrt{N!}} \det \begin{vmatrix} \phi_{k_1}(\mathbf{r}_1) & \phi_{k_2}(\mathbf{r}_1) & \cdots & \phi_{k_N}(\mathbf{r}_1) \\ \phi_{k_1}(\mathbf{r}_2) & \phi_{k_2}(\mathbf{r}_2) & \cdots & \phi_{k_N}(\mathbf{r}_2) \\ \vdots & \vdots & \ddots & \vdots \\ \phi_{k_1}(\mathbf{r}_N) & \phi_{k_2}(\mathbf{r}_N) & \cdots & \phi_{k_N}(\mathbf{r}_N) \end{vmatrix}
\end{aligned} \tag{B.29}$$

where

$$\phi_{k_i}(\mathbf{r}_j) = \phi_{k_i, s_j}(\mathbf{r}_j)$$

The determinant in (B.29) is known as a **Slater determinant**.

### B.2.3. Partition Functions & Expectation Values

With respect to the basis (B.22), the partition function for an  $N$  boson system is

$$Z_N = \text{Tr} e^{-\beta \hat{H}_N} = \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \left\langle k_1, \dots, k_N \mid e^{-\beta \hat{H}_N} \mid k_1, \dots, k_N \right\rangle^{(S)} \tag{B.30a}$$

where the factor  $\frac{\prod_j n_j!}{N!}$  serves the same purpose as that in (B.24).

Since  $\hat{H}_N$  is invariant under  $\hat{\mathcal{P}}$ ,

$$\begin{aligned}
\left\langle k_1, \dots, k_N \mid e^{-\beta \hat{H}_N} \mid k_1, \dots, k_N \right\rangle^{(S)} &= \left\langle k_1, \dots, k_N \mid \hat{\mathcal{P}} e^{-\beta \hat{H}_N} \hat{\mathcal{P}}^{-1} \mid k_1, \dots, k_N \right\rangle^{(S)} \\
&= \left\langle k_1, \dots, k_N \mid \hat{\mathcal{P}} e^{-\beta \hat{H}_N} \mid k_1, \dots, k_N \right\rangle^{(S)}
\end{aligned} \tag{B.30b}$$

because  $\left\langle k_1, \dots, k_N \right\rangle^{(S)}$  is invariant under  $\hat{\mathcal{P}}^{-1}$ . Since  $\hat{\mathcal{P}}$  must be Hermitian, each term,  $\left\langle k_1, \dots, k_N \mid \hat{\mathcal{P}} \right\rangle$  in  $\left\langle k_1, \dots, k_N \right\rangle^{(S)}$  gives the same contribution to (B.30a).

Putting (B.22) into (B.30a) gives

$$\begin{aligned}
Z_N &= \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \sqrt{\frac{\prod_j n_j!}{N!}} \sum_{\mathcal{P}} \left\langle k_1, \dots, k_N \mid \hat{\mathcal{P}} e^{-\beta \hat{H}_N} \mid k_1, \dots, k_N \right\rangle^{(S)} \\
&= \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \sqrt{\frac{\prod_j n_j!}{N!}} \frac{N!}{\prod_j n_j!} \left\langle k_1, \dots, k_N \mid e^{-\beta \hat{H}_N} \mid k_1, \dots, k_N \right\rangle^{(S)} \quad [ \text{(B.30b) used.} ] \\
&= \sum_{k_1, \dots, k_N} \sqrt{\frac{\prod_j n_j!}{N!}} \left\langle k_1, \dots, k_N \mid e^{-\beta \hat{H}_N} \mid k_1, \dots, k_N \right\rangle^{(S)}
\end{aligned} \tag{B.30c}$$

Setting

$$\begin{aligned} |k_1, \dots, k_N\rangle^{(+)} &\equiv \sum_{\mathcal{P}} \hat{\mathcal{P}} |k_1, \dots, k_N\rangle \\ &= \sqrt{\frac{N!}{\prod_j n_j!}} |k_1, \dots, k_N\rangle^{(S)} \end{aligned} \quad (\text{B.31})$$

(B.30c) becomes, for  $N$  bosons,

$$Z_N = \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \langle k_1, \dots, k_N | e^{-\beta \hat{H}_N} | k_1, \dots, k_N \rangle^{(+)} \quad (\text{B.30})$$

Note that the factor  $\frac{\prod_j n_j!}{N!}$  is the same as that in (B.30a), and serves the same purpose.

The partition function for an  $N$  fermion system is

$$Z_N = \text{Tr} e^{-\beta \hat{H}_N} = \frac{1}{N!} \sum_{k_1, \dots, k_N} {}^{(A)} \langle k_1, \dots, k_N | e^{-\beta \hat{H}_N} | k_1, \dots, k_N \rangle^{(A)} \quad (\text{B.32a})$$

where the factor  $\frac{1}{N!}$  serves the same purpose as that in (B.28).

Since  $\mathcal{P}$  &  $\mathcal{P}^{-1}$  are decomposed into the same number of 2-particle exchanges,  $(-)^{\mathcal{P}} = (-)^{\mathcal{P}^{-1}}$ . This in turn implies

$$\hat{\mathcal{P}}^{-1} |k_1, \dots, k_N\rangle^{(A)} = (-)^{\mathcal{P}} |k_1, \dots, k_N\rangle^{(A)} \quad \forall \mathcal{P} \quad (\text{B.32b})$$

Therefore, the fermion version of (B.30b) is

$$\begin{aligned} \langle k_1, \dots, k_N | e^{-\beta \hat{H}_N} | k_1, \dots, k_N \rangle^{(A)} &= \langle k_1, \dots, k_N | \hat{\mathcal{P}} e^{-\beta \hat{H}_N} \hat{\mathcal{P}}^{-1} | k_1, \dots, k_N \rangle^{(A)} \\ &= (-)^{\mathcal{P}} \langle k_1, \dots, k_N | \hat{\mathcal{P}} e^{-\beta \hat{H}_N} | k_1, \dots, k_N \rangle^{(A)} \end{aligned} \quad (\text{B.32c})$$

Thus, each term,  $(-)^{\mathcal{P}} \langle k_1, \dots, k_N | \hat{\mathcal{P}}$ , in  ${}^{(A)} \langle k_1, \dots, k_N |$  gives the same contribution to (B.32a).

Putting (B.25) into (B.32a) gives

$$\begin{aligned} Z_N &= \sum_{k_1, \dots, k_N} \frac{1}{N!} \frac{1}{\sqrt{N!}} \sum_{\mathcal{P}} (-)^{\mathcal{P}} \langle k_1, \dots, k_N | \hat{\mathcal{P}} e^{-\beta \hat{H}_N} | k_1, \dots, k_N \rangle^{(A)} \\ &= \sum_{k_1, \dots, k_N} \frac{1}{\sqrt{N!}} \langle k_1, \dots, k_N | e^{-\beta \hat{H}_N} | k_1, \dots, k_N \rangle^{(A)} \quad [(\text{B.32c}) \text{ used}] \\ &= \sum_{k_1, \dots, k_N} \frac{1}{N!} \langle k_1, \dots, k_N | e^{-\beta \hat{H}_N} | k_1, \dots, k_N \rangle^{(-)} \end{aligned} \quad (\text{B.32})$$

where

$$\begin{aligned} |k_1, \dots, k_N\rangle^{(-)} &\equiv \sum_{\mathcal{P}} (-)^{\mathcal{P}} \hat{\mathcal{P}} |k_1, \dots, k_N\rangle \\ &= \sqrt{N!} |k_1, \dots, k_N\rangle^{(A)} \end{aligned} \quad (\text{B.33})$$

To check the correctness of (B.30), consider the case of two particles & two 1-particle states. (B.30a) & (B.30) become

$$Z_2 = \sum_{k_1=a}^b \sum_{k_2=a}^b \frac{\prod_j n_j!}{N!} {}^{(S)} \langle k_1, k_2 | e^{-\beta \hat{H}_2} | k_1, k_2 \rangle^{(S)} \quad (\text{a})$$

$$= \sum_{k_1=a}^b \sum_{k_2=a}^b \frac{\prod_j n_j!}{N!} \langle k_1, k_2 | e^{-\beta \hat{H}_2} | k_1, k_2 \rangle^{(+)} \quad (\text{b})$$

where, with self-explaining notations,

$$\hat{H}_2 = \hat{h}(1) + \hat{h}(2) + \hat{\mathcal{V}}(1, 2)$$

Using (a) and  $|ab\rangle^{(S)} = |ba\rangle^{(S)}$ , we have

$$\begin{aligned} Z_2 &= {}^{(S)} \langle aa | e^{-\beta \hat{H}_2} | aa \rangle^{(S)} + {}^{(S)} \langle ab | e^{-\beta \hat{H}_2} | ab \rangle^{(S)} + {}^{(S)} \langle bb | e^{-\beta \hat{H}_2} | bb \rangle^{(S)} \\ &= \langle aa | e^{-\beta \hat{H}_2} | aa \rangle + \frac{1}{2} \left( \langle ab | + \langle ba | \right) e^{-\beta \hat{H}_2} \left( | ab \rangle + | ba \rangle \right) \\ &\quad + \langle bb | e^{-\beta \hat{H}_2} | bb \rangle \end{aligned} \quad (\text{c})$$

Using (b), we have

$$\begin{aligned} Z_2 &= \langle aa | e^{-\beta \hat{H}_2} | aa \rangle^{(+)} + \frac{1}{2} \langle ab | e^{-\beta \hat{H}_2} | ab \rangle^{(+)} + \frac{1}{2} \langle ba | e^{-\beta \hat{H}_2} | ba \rangle^{(+)} \\ &\quad + \langle bb | e^{-\beta \hat{H}_2} | bb \rangle^{(+)} \\ &= \langle aa | e^{-\beta \hat{H}_2} | aa \rangle + \frac{1}{2} \langle ab | e^{-\beta \hat{H}_2} ( | ab \rangle + | ba \rangle ) \\ &\quad + \frac{1}{2} \langle ba | e^{-\beta \hat{H}_2} ( | ba \rangle + | ab \rangle ) + \langle bb | e^{-\beta \hat{H}_2} | bb \rangle \end{aligned} \quad (\text{d})$$

which is the same as (c). (B.30) is correct at least for  $N=2$ .

For fermions,  $|aa\rangle$  &  $|bb\rangle$  do not contribute. The fermion version of (c) is therefore

$$\begin{aligned} Z_2 &= {}^{(A)} \langle ab | e^{-\beta \hat{H}_2} | ab \rangle^{(A)} \\ &= \frac{1}{2} \left( \langle ab | - \langle ba | \right) e^{-\beta \hat{H}_2} \left( | ab \rangle - | ba \rangle \right) \end{aligned} \quad (\text{e})$$

while that of (d) is

$$\begin{aligned} Z_2 &= \frac{1}{2} \langle ab | e^{-\beta \hat{H}_2} | ab \rangle^{(-)} + \frac{1}{2} \langle ba | e^{-\beta \hat{H}_2} | ba \rangle^{(-)} \\ &= \frac{1}{2} \langle ab | e^{-\beta \hat{H}_2} ( | ab \rangle - | ba \rangle ) + \frac{1}{2} \langle ba | e^{-\beta \hat{H}_2} ( | ba \rangle - | ab \rangle ) \end{aligned}$$

which is the same as (e). (B.32) is correct at least for  $N=2$ .

Consider now a 1-body operator in an  $N$ -particle system.

$$\hat{O}_N^{(1)} = \sum_{i=1}^N \hat{O}_i \quad \hat{O}_i = O(\hat{\mathbf{p}}_i, \hat{\mathbf{r}}_i) \quad (\text{B.34})$$

Its expectation value is given by its ensemble average as

$$\begin{aligned} \langle O^{(1)} \rangle &= \text{Tr} \left( \hat{O}_N^{(1)} \hat{\rho}_N \right) \quad [ \hat{\rho}_N = \frac{1}{Z_N} e^{-\beta \hat{H}_N} ] \\ &= \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} {}^{(S)} \langle k_1, \dots, k_N | \hat{O}_N^{(1)} \hat{\rho}_N | k_1, \dots, k_N \rangle^{(S)} \quad [\text{c.f. (B.30a).}] \end{aligned}$$

$$= \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \sum_{k_1', \dots, k_N'} \frac{\prod_j n_j!}{N!} \langle k_1, \dots, k_N \mid \hat{O}_N^{(1)} \mid k_1', \dots, k_N' \rangle^{(S)} \times \langle k_1', \dots, k_N' \mid \hat{P}_N \mid k_1, \dots, k_N \rangle^{(S)} \quad [\text{Completeness used.}]$$

$$= \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \sum_{k_1', \dots, k_N'} \frac{\prod_j n_j!}{N!} \langle k_1, \dots, k_N \mid \hat{O}_N^{(1)} \mid k_1', \dots, k_N' \rangle^{(+)} \times \langle k_1', \dots, k_N' \mid \hat{P}_N \mid k_1, \dots, k_N \rangle^{(+)} \quad [\text{c.f. (B.30).}] \quad (\text{B.34a})$$

$$= \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \sum_{k_1', \dots, k_N'} \frac{\prod_j n_j!}{N!} \sum_{i=1}^N [ \langle k_i \mid \hat{O}_i \mid k_i' \rangle \prod_{m \neq i} \delta_{k_m k_m'} ] \times \langle k_1', \dots, k_N' \mid \hat{P}_N \mid k_1, \dots, k_N \rangle^{(+)} \quad (\text{B.34b})$$

Since the factor  $\frac{\prod_j n_j!}{N!}$  serves to ensure every non-equivalent term in the sums appear only once, we have

$$\langle O^{(1)} \rangle = \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \sum_{i=1}^N \sum_{k_i'} \langle k_i \mid \hat{O}_i \mid k_i' \rangle \langle k_1, \dots, k_i \rightarrow k_i', \dots, k_N \mid \hat{P}_N \mid k_1, \dots, k_N \rangle^{(+)}$$

where we have used  $k_i \rightarrow x$  to denote replacing  $k$  at position  $i$  with  $x$ .

Since

$$\langle k_i \mid \hat{O}_i \mid k_i' \rangle = \langle k_i \mid \hat{O}^{(1)} \mid k_i' \rangle$$

we have

$$\langle O^{(1)} \rangle = \sum_{k_1, \dots, k_N} \frac{\prod_j n_j!}{N!} \sum_{i=1}^N \sum_{k_i'} \langle k_i \mid \hat{O}^{(1)} \mid k_i' \rangle \langle k_1, \dots, k_i \rightarrow k_i', \dots, k_N \mid \hat{P}_N \mid k_1, \dots, k_i, \dots, k_N \rangle^{(+)} \\ = \sum_{k_1, \dots, k, \dots, k_N} \frac{\prod_j n_j!}{N!} \sum_{i=1}^N \sum_{k_i'} \langle k \mid \hat{O}^{(1)} \mid k_i' \rangle \langle k_i', \overset{k_i \text{ term missing}}{k_1, \dots, k_N} \mid \hat{P}_N \mid k, \overset{k_i \text{ term missing}}{k_1, \dots, k_N} \rangle^{(+)}$$

where we have renamed  $k_i \rightarrow k$  &  $k_i' \rightarrow k_i'$ , then taken advantage of the invariance of  $\hat{P}_N$  &  $\langle \dots \rangle^{(+)}$  to move both  $k$  &  $k_i'$  to the 1st positions.

Renaming

$$\{k_1, \dots, k_{i-1}\} \rightarrow \{k_2, \dots, k_i\} \quad \forall i \quad (\text{B.34c})$$

we have

$$\langle O^{(1)} \rangle = \sum_{i=1}^N \sum_{k, k_i'} \langle k \mid \hat{O}^{(1)} \mid k_i' \rangle \sum_{k_2, \dots, k_N} \frac{\prod_j n_j!}{N!} \langle k_i', k_2, \dots, k_N \mid \hat{P}_N \mid k, k_2, \dots, k_N \rangle^{(+)} \\ = \sum_{k, k_i'} \langle k \mid \hat{O}^{(1)} \mid k_i' \rangle \sum_{k_2, \dots, k_N} \frac{\prod_j n_j!}{(N-1)!} \langle k_i', k_2, \dots, k_N \mid \hat{P}_N \mid k, k_2, \dots, k_N \rangle^{(+)}$$

Setting

$$\langle k_i' \mid \hat{P}^{(1)} \mid k \rangle = \sum_{k_2, \dots, k_N} \frac{\prod_j n_j!}{(N-1)!} \langle k_i', k_2, \dots, k_N \mid \hat{P}_N \mid k, k_2, \dots, k_N \rangle^{(+)} \quad (\text{B.37})$$

we get

$$\langle O^{(1)} \rangle = \sum_k \sum_{k_i'} \langle k \mid \hat{O}^{(1)} \mid k_i' \rangle \langle k_i' \mid \hat{P}^{(1)} \mid k \rangle \quad (\text{B.36})$$



Completeness of the 1-particle states allows us to write (B.36) as

$$\begin{aligned} \langle O^{(1)} \rangle &= \sum_{k_1} \langle k_1 | \hat{O}^{(1)} \hat{\rho}^{(1)} | k_1 \rangle \\ &= \text{Tr} \left( \hat{O}^{(1)} \hat{\rho}^{(1)} \right) \end{aligned} \quad (\text{B.36c})$$

so that  $\hat{\rho}^{(1)}$  is the probability density operator if the system were a 1-body system. It is therefore simply  $\hat{\rho}_N$  averaged over the states of  $N - 1$  bodies, and hence called the **reduced 1-body probability density operator**.

By now, it should be obvious that the fermion version can be easily obtained from the boson one by setting

$$\prod_j n_j \rightarrow 1 \quad (S) \rightarrow (A) \quad (+) \rightarrow (-)$$

while watching out diligently for sign changes due to particle exchanges. By comparing (B.32) with (B30), we can deduce that the fermion version of (B.36a) is simply

$$\begin{aligned} \langle O^{(1)} \rangle &= \sum_{k_1, \dots, k_N} \frac{1}{N!} \sum_{k_1', \dots, k_N'} \frac{1}{N!} \langle k_1, \dots, k_N | \hat{O}_N^{(1)} | k_1', \dots, k_N' \rangle^{(-)} \\ &\quad \times \langle k_1', \dots, k_N' | \hat{\rho}_N | k_1, \dots, k_N \rangle^{(-)} \\ &= \sum_{k_1, \dots, k_N} \frac{1}{N!} \sum_{k_1', \dots, k_N'} \frac{1}{N!} \sum_{i=1}^N \left[ \langle k_i | \hat{O}_i | k_i' \rangle^{(-)^{i+1}} \prod_{m \neq i} \delta_{k_m k_m'} \right] \\ &\quad \times \langle k_1', \dots, k_N' | \hat{\rho}_N | k_1, \dots, k_N \rangle^{(-)} \end{aligned}$$

where the factor  $(-)^{i+1}$  comes from the position of  $k_i'$  in  $| k_1', \dots, k_N' \rangle^{(-)}$ .

Following the same path used in the boson case, we have

$$\begin{aligned} \langle O^{(1)} \rangle &= \sum_{k_1, \dots, k_N} \frac{1}{N!} \sum_{i=1}^N \sum_{k'} (-)^{i+1} \langle k_i | \hat{O}^{(1)} | k_i' \rangle \langle k_1, \dots, k_i \rightarrow k', \dots, k_N | \hat{\rho}_N | k_1, \dots, k_i, \dots, k_N \rangle^{(-)} \\ &= \sum_{k_1, \dots, k, \dots, k_N} \frac{1}{N!} \sum_{i=1}^N \sum_{k'} \langle k | \hat{O}^{(1)} | k' \rangle \langle k', \overset{k_i \text{ term missing}}{k_1, \dots, k_N} | \hat{\rho}_N | k, \overset{k_i \text{ term missing}}{k_1, \dots, k_N} \rangle^{(-)} \end{aligned}$$

where moving  $k_i$  up to the 1st position in  $| k, \overset{k_i \text{ term missing}}{k_1, \dots, k_N} \rangle^{(-)}$  produces another  $(-)^{i+1}$  factor that cancels out the existing one.

After applying the relabeling (B.34c), we get

$$\begin{aligned} \langle O^{(1)} \rangle &= \sum_{i=1}^N \sum_{k, k'} \langle k | \hat{O}^{(1)} | k' \rangle \sum_{k_2, \dots, k_N} \frac{1}{N!} \langle k', k_2, \dots, k_N | \hat{\rho}_N | k, k_2, \dots, k_N \rangle^{(-)} \\ &= \sum_{k, k'} \langle k | \hat{O}^{(1)} | k' \rangle \sum_{k_2, \dots, k_N} \frac{1}{(N-1)!} \langle k', k_2, \dots, k_N | \hat{\rho}_N | k, k_2, \dots, k_N \rangle^{(-)} \end{aligned}$$

Hence, (B.36) is also applicable for fermions if we replace (B.37) with

$$\langle k' | \hat{\rho}^{(1)} | k \rangle = \sum_{k_2, \dots, k_N} \frac{1}{(N-1)!} \langle k', k_2, \dots, k_N | \hat{\rho}_N | k, k_2, \dots, k_N \rangle^{(-)} \quad (\text{B.38})$$

Note that of the two  $(-)^{j+1}$  factors, one comes from the completeness of the anti-symmetrized basis. Thus, if we had used the completeness of the basis  $|k_1, \dots, k_N\rangle$  instead, the results would be erroneous. This is because the basis  $|k_1, \dots, k_N\rangle$ , which contains symmetrized components, is over-complete in the anti-symmetrized Hilbert subspace.

Following Reichl's instruction, we leave the derivation for  $\langle O^{(2)} \rangle$  as an exercise.